

Executive Master's Degree Corporate Compliance

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Executive Master's Degree Corporate Compliance

- » Modality: online
- » Duration: 12 months
- » Certificate: TECH Technological University
- » Dedication: 16h/week
- » Schedule: at your own pace
- » Exams: online
- » Target Group: University Graduates who have previously completed any of the degrees in the field of Social and Legal Sciences, Administration and Business, as well as graduates in the field of Marketing and Prosecutors.

Website: www.techtute.com/pk/school-of-business/executive-master-degree/executive-master-degree-corporate-compliance

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01

Welcome

Operational risk classification in business activity through good practice has made it possible to establish effective action protocols for Data Protection and money laundering prevention, among others. Thus, Corporate Compliance has forced international companies such as CaixaBank to retract when financial fraud is detected, contributing to an ethical, fair and globalized praxis. However, this is a complex area, whose mastery requires specialized knowledge in Compliance Responsibility, something that the graduate will work on with this Executive Master's Degree. Thus, throughout 1,500 hours of multidisciplinary and 100% online content, you will be able to specialize in an area that is increasingly in demand in the labor market, delving into the intricacies of the different types of Compliance, its strategies and risk mitigation in their fields of action.



Executive Master's Degree in Corporate Compliance
TECH Technological University



“

A Executive Master's Degree that will give you the keys to identify and classify occupational risks in the offline and online environment to succeed as a specialist in Corporate Compliance”

02

Why Study at TECH?

TECH is the world's largest 100% online business school. It is an elite business school, with a model based on the highest academic standards. A world-class center for intensive managerial skills education.



“

TECH is a university at the forefront of technology, and puts all its resources at the student's disposal to help them achieve entrepreneurial success”

At TECH Technological University



Innovation

The university offers an online learning model that balances the latest educational technology with the most rigorous teaching methods. A unique method with the highest international recognition that will provide students with the keys to develop in a rapidly-evolving world, where innovation must be every entrepreneur's focus.

"*Microsoft Europe Success Story*", for integrating the innovative, interactive multi-video system.



The Highest Standards

Admissions criteria at TECH are not economic. Students don't need to make a large investment to study at this university. However, in order to obtain a qualification from TECH, the student's intelligence and ability will be tested to their limits. The institution's academic standards are exceptionally high...

95% | of TECH students successfully complete their studies



Networking

Professionals from countries all over the world attend TECH, allowing students to establish a large network of contacts that may prove useful to them in the future.

+100000

executives prepared each year

+200

different nationalities



Empowerment

Students will grow hand in hand with the best companies and highly regarded and influential professionals. TECH has developed strategic partnerships and a valuable network of contacts with major economic players in 7 continents.

+500

collaborative agreements with leading companies



Talent

This program is a unique initiative to allow students to showcase their talent in the business world. An opportunity that will allow them to voice their concerns and share their business vision.

After completing this program, TECH helps students show the world their talent.



Multicultural Context

While studying at TECH, students will enjoy a unique experience. Study in a multicultural context. In a program with a global vision, through which students can learn about the operating methods in different parts of the world, and gather the latest information that best adapts to their business idea.

TECH students represent more than 200 different nationalities.

TECH strives for excellence and, to this end, boasts a series of characteristics that make this university unique:



Analysis

TECH explores the student's critical side, their ability to question things, their problem-solving skills, as well as their interpersonal skills.



Academic Excellence

TECH offers students the best online learning methodology. The university combines the Relearning method (postgraduate learning methodology with the best international valuation) with the Case Study. Tradition and vanguard in a difficult balance, and in the context of the most demanding educational itinerary.



Economy of Scale

TECH is the world's largest online university. It currently boasts a portfolio of more than 10,000 university postgraduate programs. And in today's new economy, **volume + technology = a groundbreaking price**. This way, TECH ensures that studying is not as expensive for students as it would be at another university.



Learn with the best

In the classroom, TECH's teaching staff discuss how they have achieved success in their companies, working in a real, lively, and dynamic context. Teachers who are fully committed to offering a quality specialization that will allow students to advance in their career and stand out in the business world.

Teachers representing 20 different nationalities.



At TECH, you will have access to the most rigorous and up-to-date case analyses in academia"

03

Why Our Program?

Studying this TECH program means increasing the chances of achieving professional success in senior business management.

It is a challenge that demands effort and dedication, but it opens the door to a promising future. Students will learn from the best teaching staff and with the most flexible and innovative educational methodology.



“

We have highly qualified teachers and the most complete syllabus on the market, which allows us to offer you education of the highest academic level”

This program will provide you with a multitude of professional and personal advantages, among which we highlight the following:

01

A Strong Boost to Your Career

By studying at TECH, students will be able to take control of their future and develop their full potential. By completing this program, students will acquire the skills required to make a positive change in their career in a short period of time.

70% of students achieve positive career development in less than 2 years.

02

Develop a strategic and global vision of the company

TECH offers an in-depth overview of general management to understand how each decision affects each of the company's different functional fields.

Our global vision of companies will improve your strategic vision.

03

Consolidate the student's senior management skills

Studying at TECH means opening the doors to a wide range of professional opportunities for students to position themselves as senior executives, with a broad vision of the international environment.

You will work on more than 100 real senior management cases.

04

You will take on new responsibilities

The program will cover the latest trends, advances and strategies, so that students can carry out their professional work in a changing environment.

45% of graduates are promoted internally.

05

Access to a powerful network of contacts

TECH connects its students to maximize opportunities. Students with the same concerns and desire to grow. Therefore, partnerships, customers or suppliers can be shared.

You will find a network of contacts that will be instrumental for professional development.

06

Thoroughly develop business projects.

Students will acquire a deep strategic vision that will help them develop their own project, taking into account the different fields in companies.

20% of our students develop their own business idea.

07

Improve soft skills and management skills

TECH helps students apply and develop the knowledge they have acquired, while improving their interpersonal skills in order to become leaders who make a difference.

Improve your communication and leadership skills and enhance your career.

08

You will be part of an exclusive community

Students will be part of a community of elite executives, large companies, renowned institutions, and qualified teachers from the most prestigious universities in the world: the TECH Technological University community.

We give you the opportunity to study with a team of world-renowned teachers.

04 Objectives

The development of the Internet and the irruption in the market with the increasingly reiterative use of ICT has increased business fraud, which is why Corporate Compliance has become a very important weapon in the fight against malpractice. Based on this, TECH has developed this Executive Master's Degree with the aim that the professional can know in detail the ins and outs of this area, providing the necessary resources to carry out a service of identification and classifications of legal risks effective and ethical to agree a legal and globalized business activity.



“

A program with which you will delve into the tasks of the compliance officer and the keys to carry them out effectively in today's digital and offline environment”

TECH makes the goals of their students their own goals too.
Working together to achieve them.

The Executive Master's Degree in Corporate Compliance will enable the students to:

01

Develop specialized knowledge of the compliance activity

04

Apply the knowledge acquired in the context of the company in the task of establishing adequate communication channels that promote transparency in corporate actions

02

Apply the knowledge acquired in the context of the company, relating it to the multidisciplinary areas required for its definition and study

03

Define the planning of a compliance program

05

Develop relevant knowledge to understand the criminal liability that affects companies



06

Apply the knowledge acquired in the context of the company by relating it to the functioning of the organs of criminal law

08

Apply the knowledge acquired in the context of the company by relating it to the functioning of the organs of criminal law



09

Substantiate how to act preventively in the face of privacy and data protection risks

07

Develop specialized knowledge of money laundering, terrorist financing and tax evasion liability affecting companies

10

Identify the aspects to be considered when designing a Compliance program in this area

11

Addressing the regulatory compliance function in the workplace

14

Apply the knowledge acquired in the context of the company by relating it to the functioning of the Communication Systems of criminal law

12

Develop best practices applicable to labor matters



13

Develop specialized knowledge in the utilization of networked company resources

15

Analyze the definition of the conduct of companies in the free competition market

16

Apply the knowledge acquired to the definition of a regulatory compliance program

18

Apply the knowledge acquired to the definition of a Financial Institutions compliance program



19

Apply the knowledge acquired to the definition of a regulatory compliance program

17

Develop specialized knowledge on compliance in the financial sector as a reference of best practices

20

Integrating knowledge and dealing with the complexity of controlling and preventing illicit activities in the above contexts

05 Skills

TECH designs the syllabus of its degrees so that graduates, in addition to acquiring the best and most innovative knowledge, have the necessary resources to improve their practice. Therefore, the graduate who accesses this Executive Master's Degree will surely acquire the necessary skills to succeed comprehensively in the field of Corporate Compliance through a guideline of the highest level. In addition, they will work on the mastery of the main tools for this, thanks to which they will internalize the Responsibility of Compliance and its application in the current international regulatory framework.





“

A program in which you will find the keys to master the monitoring in business management of compliance through awareness in the entity and monitoring of activities”

01

Apply new technologies as tools for communication exchange in the development of research and learning processes

02

Develop communication skills, write reports and documents or make effective presentations of these

03

Analyze the principles of corporate governance and identify the risks that affect them

04

Mastering the relevant tools to make the institution of Compliance work

05

Determine corporate criminal liability regimes



06

Clearly express the objectives and methodology followed for the implementation of criminal compliance plan

08

Clearly express the objectives and methodology followed for the implementation of Prosecutors compliance plan



09

Delve into the relative principles to the processing of personal data

07

Define the financial crime obligations of companies

10

Examine the new European model of personal data protection

11

Identify the key aspects in the design of a compliance program in the labor field

12

Determine the necessary mechanisms for the effective implementation of a labor compliance system in the company

13

Clearly express the objectives and methodology followed to implement a cybersecurity compliance plan

14

Discern and evaluate the different risks of the use of ICTs affecting a company

15

Evaluate adopted measures in order to avoid competition and industrial property offenses in the market



16

Develop an original risk plan and present it and justify it

18

Clearly express the methodology followed when identifying financial compliance risks



19

Discern and evaluate the different risks of the company's activities in the particular delimitation indicated by the subjects

17

Evaluate measures taken to prevent network crime

20

Analyze the different competition compliance programs in order to achieve the greatest legal precision and economic efficiency

06

Structure and Content

The program's syllabus has been designed by the teaching team in accordance with TECH and based on quality criteria: originality, thoroughness, innovation and specialities. In this way, it has been possible to develop 1,500 hours of the best theoretical, practical and additional content focused on Corporate Compliance and its areas of action in the current regulatory context. In addition, the graduate will be able to access it from any device with an Internet connection thanks to its convenient 100% online format, which gives the experience a plus in terms of time flexibility and face-to-face attendance.



“

Through knowledge of the Annual International Compliance Plan, you will be able to effectively advise any company, raising its activity to the pinnacle of good practice”

Syllabus

The content of the Executive Master's Degree in Corporate Compliance has been developed by the teaching team taking as a reference the latest developments in the sector. Thus, it has been possible to create an intensive program through which the graduate will attend a comprehensive and 100% online specialization in the area of Compliance Responsibility.

It is an experience distributed over 1,500 hours of diverse content, including use cases and additional audiovisual material that will allow the graduate to delve in a personalized way into aspects such as the regulatory framework in Compliance, the elements of business management in the current context or the different types that exist for the prevention of money laundering or for the elimination of the financing of terrorism.

In this way, the student will obtain a series of professional competencies that will elevate their talent to the maximum, placing them at the top of the sector due to their specialized handling of risk mitigation tools in the current offline and online market. All this will serve as a significant asset for the achievement of the managerial job you have always wanted, being able to demonstrate their involvement and commitment to business ethics through a work based on compliance with international regulations of Corporate Compliance.

This Executive Master's Degree takes place over 12 months and is divided into 10 modules:

Module 1	Corporate Compliance. Regulatory Framework
Module 2	Business Management Elements of Compliance
Module 3	Criminal Compliance
Module 4	Compliance as a control function: prevention of money laundering and terrorist financing
Module 5	Compliance and data protection
Module 6	Labor Compliance
Module 7	Compliance to mitigate cybersecurity risks and the use of technologies in the company
Module 8	Competition Intellectual Rights Compliance
Module 9	Financial Compliance
Module 10	Sectorial Compliances



Where, When and How is it Taught?

TECH offers the possibility of developing this Executive Master's Degree in Corporate completely online. Over the course of 12 months, you will be able to access all the contents of this program at any time, allowing you to self-manage your study time.

A unique, key, and decisive educational experience to boost your professional development and make the definitive leap.

Module 1. Corporate Compliance. Regulatory Framework.

1.1. Corporate Compliance. Development in Organizations

- 1.1.1. Corporate Compliance
- 1.1.2. *Compliance* and Regulatory Compliance
- 1.1.3. *Compliance* within Organizations

1.2. Compliance function and requirements

- 1.2.1. Compliance culture
- 1.2.2. Position in the organization
- 1.2.3. Reference terms of the Compliance function
- 1.2.4. Interaction With Other Function

1.3. Industry standards and best practices (ISO rules)

- 1.3.1. ISO 37301
- 1.3.2. UNE 19601:2017 on Criminal Compliance Management Systems
- 1.3.3. ISO 37001 *Anti-bribery management systems* standard
- 1.3.4. UNE-/ IEC 27000 Standards. Information Security Management System (ISMS)
- 1.3.5. UNE-/ IEC 20000 Standards. IT Service Management System (ITSMS)

1.4. Internal regulations of the organizations

- 1.4.1. Hierarchy of policies
- 1.4.2. The rule of rules or rule 0
- 1.4.3. Ethical Codes
- 1.4.4. Structuring and production
- 1.4.5. Revision and updating
- 1.4.6. Advertising and Communication

1.5. Ethics in the Compliance Framework

- 1.5.1. Business ethics
- 1.5.2. Theories of Business Ethics
- 1.5.3. Ethical performance and reputational impact

1.6. Compliance leadership and culture

- 1.6.1. Leadership in organizations: importance of tone at the top
- 1.6.2. Development of a control structure: the three lines of defense
- 1.6.3. Metrics of effective leadership in Compliance

1.7. Prevention of conflicts of interest in the corporate framework

- 1.7.1. Conflicts of interest in the corporate framework
- 1.7.2. Types of conflicts of interest
- 1.7.3. Conflict Management interest rate

1.8. Compliance officer

- 1.8.1. Compliance officer profile
- 1.8.2. Compliance officer in Spanish regulation
- 1.8.3. Interaction With Other Functions

1.9. Compliance officer Tasks

- 1.9.1. Compliance officer Tasks
- 1.9.2. The problem of their heterogeneity
- 1.9.3. Structures of compliance tasks

1.10. Responsibility of the compliance officer

- 1.10.1. Responsibility of senior management in Compliance
- 1.10.2. Responsibility of the compliance officer
- 1.10.3. Responsibility of the rest of the organization regarding Compliance

Module 2. Business Management Elements of Compliance

<p>2.1. Leadership. Planning. Operation Evaluation. Improvement of procedures</p> <p>2.1.1. Philosophies behind Compliance cycles: PDCA</p> <p>2.1.2. Philosophies behind Compliance cycles: Kaizen</p> <p>2.1.3. Philosophies behind Compliance cycles:</p>	<p>2.2. Communication Training. Sensitization in the Company</p> <p>2.2.1. Philosophies behind Compliance cycles:</p> <p>2.2.2. Communication Structure in Compliance</p> <p>2.2.3. Personnel Training</p> <p>2.2.4. Internalization of Compliance</p>	<p>2.3. Monitoring. Procedure. Plan: execution of the plan</p> <p>2.3.1. Compliance monitoring and testing</p> <p>2.3.2. Differences with audit functions</p> <p>2.3.3. Compliance plan design</p> <p>2.3.4. Design of the monitoring and testing program</p> <p>2.3.5. Plan implementation and execution</p> <p>2.3.6. Reporting of results</p>	<p>2.4. Complaint and investigation channels in compliance procedures</p> <p>2.4.1. Whistleblowing channels: European regulation</p> <p>2.4.2. Types of whistleblowing channels</p> <p>2.4.3. Design of whistleblowing channels. Components</p> <p>2.4.4. Flows and processes in whistleblowing cases</p>
<p>2.5. Disciplinary measures and incentives for the implementation of compliance programs</p> <p>2.5.1. Compliance as a function of control and identification of misconduct</p> <p>2.5.2. Relationship with human resources and management</p> <p>2.5.3. Incentive and disciplinary system</p>	<p>2.6. Compliance Plans Records</p> <p>2.6.1. Record keeping of compliance plans</p> <p>2.6.2. Content information</p> <p>2.6.3. Record keeping procedure</p>	<p>2.7. Annual Compliance Plan</p> <p>2.7.1. Elements of the annual compliance plan</p> <p>2.7.2. Design of the annual compliance plan its approval</p> <p>2.7.3. Monitoring of the annual Compliance plan</p> <p>2.7.4. Annual Compliance Plan Report</p>	<p>2.8. Information and results reporting</p> <p>2.8.1. Management Information (MI): SMART information</p> <p>2.8.2. Internal reports generated by the Compliance Function</p> <p>2.8.3. External reports generated by the Compliance Function</p>
<p>2.9. Corporate Compliance Good Governing</p> <p>2.9.1. International and local background</p> <p>2.9.2. Corporate <i>Compliance</i>. Good governance: keys</p> <p>2.9.3. Increased focus on ESG Compliance</p>	<p>2.10. Compliance department relationship with other departments of the organization</p> <p>2.10.1. Relationship with senior management</p> <p>2.10.2. Relation with the Committee of <i>Compliance</i></p> <p>2.10.3. Relationship with the third line of defense: internal audit</p> <p>2.10.4. Relationship with the first line of defense: business and support functions</p> <p>2.10.5. Relationship with the second line of defense: Risk</p>		

Module 3. Criminal Compliance

3.1. Corporate Compliance. International regulation

- 3.1.1. U.S. regulation. Importance of the Federal Sentencing Guidelines
- 3.1.2. Regulation in the European Union countries
- 3.1.3. Cases of liability of legal entities in Latin America

3.2. Liability of the legal person prior to the criminal code: Contentious-Administrative Law

- 3.2.1. Liability of the legal person in Contentious-Administrative Law
- 3.2.2. Relationship between Administrative Law and Criminal Law in Compliance matters
- 3.2.3. Cases of overlapping: environmental infringements

3.3. Reforms to the Criminal Code regarding the criminal liability of legal persons

- 3.3.1. Criminal Code prior to the 2010 reform
- 3.3.2. 2010 reform
- 3.3.3. Subsequent minor reforms

3.4. Mitigating and exonerating conditions with scope of liability

- 3.4.1. Mitigating and exonerating factors. Concept
- 3.4.2. Mitigating elements
- 3.4.3. Exonerating elements

3.5. Prosecutor's clarification of roles and responsibilities

- 3.5.1. The Prosecutor's Circular
- 3.5.2. The problems of the compliance officer
- 3.5.3. Clarification of roles and responsibilities

3.6. Development of Corporate Defense manuals

- 3.6.1. Elements of the Corporate Defense manual
- 3.6.2. Roles in the development of plans
- 3.6.3. Risk map: assessment of the risks to which the entity is exposed
- 3.6.4. Production of the Corporate Defense manual. Steps

3.7. Risk map: assessment of the risks to which the entity is exposed

- 3.7.1. The Risk Map
- 3.7.2. Elements of the risk map
- 3.7.3. Elements of the risk map

3.8. Control frameworks, design and structures for implementation

- 3.8.1. Control as a mitigant of inherent risk
- 3.8.2. Policy design and training as mitigating factors
- 3.8.3. Control structures: a priori/ posteriori, manual/automated

3.9. Governance: committees and responsibilities

- 3.9.1. Committees as risk managers
- 3.9.2. Roles and Responsibilities
- 3.9.3. Effectiveness of committees

3.10. Role design. Practical Example

- 3.10.1. Mapping of applicable criminal types and scenarios
- 3.10.2. Roles and Responsibilities
- 3.10.3. Assignment of probability and risk: calculation of inherent risk
- 3.10.4. Evaluation of the control framework and calculation of residual risk

Module 4. Compliance as a control function: prevention of money laundering and terrorist financing

4.1. Financial crime: impact, elements and phases 4.1.1. The threat of financial crime in the economy. Pillars 4.1.2. Impact in the Economy 4.1.3. Money Laundering 4.1.4. Funding of Terrorism 4.1.5. Phases of Money Laundering and Terrorist Financing	4.2. Institutions and regulations in the prevention of money laundering and terrorist financing 4.2.1. Regulatory and supervisory focus on financial crime issues 4.2.2. Institutions and international legal frameworks of reference 4.2.3. Local institutions and legal frameworks of reference	4.3. Prevention of Money Laundering 4.3.1. Direct activities 4.3.2. Principal (financial sector) 4.3.3. Secondary (other sectors) 4.3.4. Indirect activities: service providers to entities subject to the direct money laundering prevention regime. Gaps	4.4. Program for the prevention of money laundering and financing of terrorism. Implementation and implications 4.4.1. Financial crime program. Pillars 4.4.2. Design of policies and procedures. Implementation and adaptation of systems 4.4.3. Financial crime program. Principles
4.5. Compliance as a support function 4.5.1. Compliance as a support function 4.5.2. Design of financial crime manuals and policies 4.5.3. Development of communication and training strategies	4.6. Compliance as a control function I: identification and verification 4.6.1. Identification of customers and counterparties 4.6.2. Risk-based approach: verification and periodic reviews 4.6.3. Requirements for the last beneficiary	4.7. Compliance as a control function II: Monitoring and suspected 4.7.1. Types of suspicious transactions 4.7.2. Monitoring design 4.7.3. Monitoring flow 4.7.4. Types of suspicious transactions	4.8. Compliance as a Control Function III: Terrorist financing and international financial sanctions: filtering tools 4.8.1. Filtering of operations related to international financial sanctions 4.8.2. List controls 4.8.3. Extraterritoriality: the case of the American supervisor (OFAC)
4.9. New technologies in financial crime 4.9.1. Evolution of Finances: FinTech and cryptoassets 4.9.2. Technological Revolution. Risks and Opportunities 4.9.3. Advances in controls: RegTech	4.10. Tax Compliance: tax evasion structures detection 4.10.1. Tax <i>Compliance</i> . Detection of tax evasion structures 4.10.2. International Tax <i>Compliance</i> Regulations: FATCA and CRS 4.10.3. Tax evasion structures: Panama Papers		

Module 5. Compliance and data protection

5.1. Europe Data Protection Regulation

- 5.1.1. Europe Data Protection Regulation
- 5.1.2. The Regulation General Data Protection Regulation
- 5.1.3. Follow-up by other jurisdictions: the Californian Case and the Chinese Regulation

5.2. AEPD Guidelines

- 5.2.1. Data Protection Law: Organic Law 3/2018, of December 5th on Personal Data Protection and guarantee of digital rights
- 5.2.2. Development guides
- 5.2.3. Legal reports and rulings

5.3. Principles Relating to the Processing of Personal Data

- 5.3.1. Lawfulness, transparency and fairness
- 5.3.2. Purpose
- 5.3.3. Data Minimization
- 5.3.4. Accuracy
- 5.3.5. Limitation of the conservation period
- 5.3.6. Security/Safety
- 5.3.7. Active liability or proven liability

5.4. Informed consent to the processing of personal data

- 5.4.1. Requirements to be met by consent
- 5.4.2. Tacit and explicit consent
- 5.4.3. Taxonomy of consent under GDPR

5.5. Rights of data subjects in relation to their personal data

- 5.5.1. Access
- 5.5.2. Rectifier
- 5.5.3. Opposition
- 5.5.4. Suppression
- 5.5.5. Treatment limitation

- 5.5.6. Portability
- 5.5.7. Not to be subject of automated individualized decisions
- 5.5.8. Information
- 5.5.9. Shengen rights

5.6. The figures of data controller and data protection officer

- 5.6.1. Concept of data controller
- 5.6.2. Concept of co-responsible
- 5.6.3. Concept of manager
- 5.6.4. Legal structures: model agreements

5.7. Data protection compliance by design and by default

- 5.7.1. Reason for the novelty of GDPR in these two cases
- 5.7.2. Data protection compliance by design and their impact
- 5.7.3. Data protection by default

5.8. Measures for complying with data protection obligations

- 5.8.1. Processing Activities Register
- 5.8.2. Inventory of treatment activities
- 5.8.3. Risk assessment for the rights and freedoms of data subjects that could result from the processing of personal data
- 5.8.4. Execution of data protection impact assessments

- 5.8.5. Prior consultation
- 5.8.6. Security of data processing
- 5.8.7. Notification of personal data breaches to the controlling authority
- 5.8.8. Communication of personal data breaches to data subjects
- 5.8.9. Code of Conduct

5.9. The Data Protection Officer

- 5.9.1. Profile and requirements of the protection officer
- 5.9.2. Independence of protection officer data
- 5.1.3. Relation with the function of *Compliance*

5.10. International Data Transfers

- 5.10.1. International data transfers: bilateral contracts
- 5.10.2. Binding Corporate Rules (BCR)
- 5.10.3. Data protection codes of conduct

Module 6. Labor Compliance

6.1. Labor Compliance. General Framework

- 6.1.1. Labor Compliance
- 6.1.2. Regulatory sources
- 6.1.3. Human resources or Compliance

6.2. Labor Compliance Program

- 6.2.1. Program Objectives
- 6.2.2. Differences with labor auditing and legal advice
- 6.2.3. Compliance planning
- 6.2.4. Compliance implementation process

6.3. Gender Equality Plans

- 6.3.1. Regulation Equality Plans
- 6.3.2. Elements of the equality plan
- 6.3.3. Design and implementation of the equality plan

6.4. Compliance with compensation records. Wage Gap

- 6.4.1. Compliance with compensation records
- 6.4.2. Compliance plan design
- 6.4.3. Implementation of the compliance plan

6.5. Gift and meal management compliance

- 6.5.1. Importance of control: conflicts of interest, prevention of bribery and corruption
- 6.5.2. Design of gift and meal management policies and their implementation
- 6.5.3. First and second line of defense of operational controls

6.6. Internal code of conduct and compliance

- 6.6.1. Infractions and sanctions system
- 6.6.2. Workers' Statute
- 6.6.3. Compliance Training

6.7. Crime prevention in the workplace

- 6.7.1. Mapping and implementation
- 6.7.2. Scenarios
- 6.7.3. Preventive Measures

6.8. Reporting channels and protection protocols against harassment and discrimination

- 6.8.1. Problem of the responsibility of the whistleblower channel: Compliance vs. Human Resources
- 6.8.2. Protection protocols against harassment and discrimination
- 6.8.3. Preventive and detective controls. Measures to be implemented

6.9. Processing of personal data in the workplace: the case of video-surveillance and time control

- 6.9.1. Ethics in the Technological World
- 6.9.2. Labor controls in the technological world AEPD Guidelines
- 6.9.3. Implementation of the obligation of time and attendance control

6.10. Law to the Digital Disconnecting

- 6.10.1. The right to digital disconnection Origin
- 6.10.2. Disconnection as a digital right of individuals
- 6.10.3. Implementation measures and case law

Module 7. Compliance to mitigate cybersecurity risks and the use of technologies in the company.

7.1. Technological compliance in the business environment

- 7.1.1. Digital Compliance. Evolution of Compliance in the technological era
- 7.1.2. Regulatory framework of the digital environment
- 7.1.3. Coordination of Compliance with other areas (CISO)

7.2. Use of technological means in the company

- 7.2.1. General rules for implementation and use
- 7.2.2. Hardware rules. Software rules
- 7.2.3. Electronic media rules. Visual media and geolocation rules

7.3. Use of Social Networks in business field

- 7.3.1. Social networking and internet use policy
- 7.3.2. Confidentiality of business information and social networks
- 7.3.3. Social Media Campaigns

7.4. Operational risk management: business continuity and disaster recovery planning

- 7.4.1. Business Continuity Plans
- 7.4.2. Disaster Recovery Plan
- 7.4.3. Third-party agreements
- 7.4.4. Suppliers and subcontractors

7.5. Classification of business information

- 7.5.1. Classification of Information
- 7.5.2. Control measures of the information according to its sensitivity
- 7.5.3. Data incident management procedure

7.6. Cybersecurity Essential element of corporate protection

- 7.6.1. Regulatory Framework
- 7.6.2. Framework control and cybersecurity
- 7.6.3. Development of internal tests and audits: penetration tests
- 7.6.4. Cybersecurity incidents
- 7.6.5. Post-mortem, notification and remediation

7.7. Controls on third parties: splitting in technology value chains

- 7.7.1. Digital operational resilience act. The Future
- 7.7.2. Framework contracts and service level agreements. Importance
- 7.7.3. Audits and due diligence of technology counterparties

7.8. Electronic contracting, consumer protection and digital signature. New issues

- 7.8.1. Electronic contracting Electronic Signature and digital signature
- 7.8.2. Digital consumer protection: DMA and DSA
- 7.8.3. Digital Identity

7.9. RegTech: preventive technologies in Compliance

- 7.9.1. Preventive controls
- 7.9.2. Compliance by design: controls in the development of systems
- 7.9.3. Technological preventive compliance guides

7.10. RegTech: Detectable technologies in Compliance

- 7.10.1. Monitoring Systems
- 7.10.2. Incident and analysis detection
- 7.10.3. Internal, customer and regulatory reports

Module 8. Competition and Intellectual Rights Compliance

8.1. Competition Compliance Activity. European Regulatory Framework

- 8.1.1. Compliance with Competence
- 8.1.2. European Regulations
- 8.1.3. Doctrine and jurisprudence
- 8.1.4. Supervision

8.2. Fundamental Elements of characterization

- 8.2.1. Free competition restriction
- 8.2.2. Vertical restraints
- 8.2.3. Horizontal restraints
- 8.2.4. Collusion

8.3. CNMC's practice in relation to compliance programs

- 8.3.1. Developments in CNMV practice
- 8.3.2. Ex ante program resolutions
- 8.3.3. Ex post program resolutions

8.4. Effective compliance programs. CNMC evaluation criteria

- 8.4.1. Summary of main components
- 8.4.2. Self-diagnostic meter
- 8.4.3. Reporting meter

8.5. The Cartel and the Lobby. Example: the Chilean Lobby Law

- 8.5.1. Chilean Lobby Law
- 8.5.2. Cartel cases
- 8.5.3. Lobbying cases

8.6. Public procurement and anticorruption

- 8.6.1. Characterization elements
- 8.6.2. Extraterritorial international regulations: FCPA and UK Bribery Act
- 8.6.3. Other agents: international transparency and its corruption perception index

8.7. New technologies for competition risk mitigation. Pricing instructions, information and exclusion strategies

- 8.7.1. Basis for evaluation
- 8.7.2. Information with competitive scope
- 8.7.3. Planning and risk mitigation strategy in competition

8.8. Legal framework for compliance with intellectual rights

- 8.8.1. Regulations
- 8.8.2. Contents
- 8.8.3. Aspects of particular consideration

8.9. Risk delimitation

- 8.9.1. Particular study of the scope of development of intellectual rights
- 8.9.2. Risk delimitation
- 8.9.3. Aspects in the praxis for planning and control

8.10. Unlawful acts in the context of intellectual rights

- 8.10.1. Detection
- 8.10.2. Protection Scope
- 8.10.3. Infringement policy

Module 9. Financial Compliance

9.1. Risk structures in Financial Compliance

- 9.1.1. Compliance Risks
- 9.1.2. Reputational risks
- 9.1.3. Regulatory risks
- 9.1.4. Conduct risks
- 9.1.5. Financial crime Risk

9.2. Consumer and investor protection

- 9.2.1. Consumer protection: channels for queries and complaints
- 9.2.2. Special focus groups: financial inclusion and vulnerability
- 9.2.3. Cases Wells Fargo and the Bankia case

9.3. MiFID II. European regulations on Financial Markets

- 9.3.1. MiFID II: objectives, impact and general framework
- 9.3.2. Product catalogue
- 9.3.3. Customer Classification
- 9.3.4. Securities Market Law

9.4. Investment instruments: controls. Appropriateness and suitability

- 9.4.1. Product governance
- 9.4.2. Suitability and convenience test
- 9.4.3. Distribution: communication and advertising campaigns
- 9.4.4. Compliance controls and monitoring

9.5. Market manipulation

- 9.5.1. European Regulation *Market Abuse Directive (MAD)* and *Market Abuse Regulation (MAR)*
- 9.5.2. Market Abuse. Pillars
- 9.5.3. Sanctioned behaviors

9.6. Privileged information in the financial markets

- 9.6.1. Privileged information
- 9.6.2. Risk events
- 9.6.3. Control systems

9.7. Controls on the contracting of financial products by remote means

- 9.7.1. The risk of using remote means
- 9.7.2. Protection controls for institutions
- 9.7.3. Consumer protection controls

9.8. Cross-border transactions in finance

- 9.8.1. Globalization: licensing versus access
- 9.8.2. Business licenses and jurisdictions with cross-border services mappings
- 9.8.3. Design of mitigating factor matrices

9.9. Regulatory Change Management

- 9.9.1. Regulatory Change Management
- 9.9.2. Follow-up of regulatory developments and initiatives
- 9.9.3. Mapping and analysis of required actions
- 9.9.4. Coordination and implementation

9.10. Compliance Project Management

- 9.10.1. Compliance as a project manager
- 9.10.2. Pillars in Compliance Project Management
- 9.10.3. Compliance project life cycle

Module 10. Sector Compliance

10.1. Compliance in Contracting

- 10.1.1. Compliance in Contracting
- 10.1.2. Public and private contracting
- 10.2.3. Compliance in public recruitment

10.2. Regulatory compliance in the environmental area

- 10.2.1. The Objectives of Agenda 2030
- 10.2.2. The scandals: *Dielselgate*
- 10.2.3. False reports: *Green Washing*
- 10.2.4. The metrics and reporting problem

10.3. Advocacy and compliance activity

- 10.3.1. Lawyers as advisors to regulated entities
- 10.3.2. Lawyers as regulated entities
- 10.3.3. Data protection in the legal profession

10.4. The food sector in the compliance activity

- 10.4.1. Transparency to consumers and users
- 10.4.2. The problem of fractioning in the value chain and relocations
- 10.4.3. Sector Particularities

10.5. Regulatory compliance in the health and safety sector

- 10.5.1. Confidentiality and Data Protection
- 10.5.2. Conflict of interest management
- 10.5.3. Sector Particularities

10.6. Regulatory compliance in energy

- 10.6.1. Role of the energy sector. Controls
- 10.6.2. Prevention of bribery and corruption. Risk
- 10.6.3. Oil and gas: Trade Finance Compliance. International financial sanctions

10.7. Regulatory compliance in the pharmaceutical sector

- 10.7.1. Compliance in the pharmaceutical sector
- 10.7.2. Compliance in industrial property
- 10.7.3. Self-regulation and advertising self-control

10.8. Regulatory compliance in small and medium companies

- 10.8.1. SMEs: obligation versus resources
- 10.8.2. Compliance Program for SMEs.. Components
- 10.8.3. Efficient Management

10.9. Regulatory compliance in listed companies and other types of companies

- 10.9.1. Origins: Enron case and the emergence of SOX regulations in the United States
- 10.9.2. Good corporate governance: benchmark reports and remuneration
- 10.9.3. Other types of companies: cooperatives

10.10. Compliance in the framework of consumer relations

- 10.10.1. Consumer protection regulations
- 10.10.2. Risk analysis in the provision of consumer services
- 10.10.3. Planning and control of consumer compliance systems

07

Methodology

This academic program offers students a different way of learning. Our methodology uses a cyclical learning approach: **Relearning**.

This teaching system is used, for example, in the most prestigious medical schools in the world, and major publications such as the **New England Journal of Medicine** have considered it to be one of the most effective.





“

Discover Relearning, a system that abandons conventional linear learning, to take you through cyclical teaching systems: a way of learning that has proven to be extremely effective, especially in subjects that require memorization"

TECH Business School uses the Case Study to contextualize all content

Our program offers a revolutionary approach to developing skills and knowledge. Our goal is to strengthen skills in a changing, competitive, and highly demanding environment.

“

At TECH, you will experience a learning methodology that is shaking the foundations of traditional universities around the world”



This program prepares you to face business challenges in uncertain environments and achieve business success.



Our program prepares you to face new challenges in uncertain environments and achieve success in your career.

A learning method that is different and innovative

This TECH program is an intensive educational program, created from scratch to present executives with challenges and business decisions at the highest level, whether at the national or international level. This methodology promotes personal and professional growth, representing a significant step towards success. The case method, a technique that lays the foundation for this content, ensures that the most current economic, social and business reality is taken into account.

“

You will learn, through collaborative activities and real cases, how to solve complex situations in real business environments”

The case method has been the most widely used learning system among the world's leading business schools for as long as they have existed. The case method was developed in 1912 so that law students would not only learn the law based on theoretical content. It consisted of presenting students with real-life, complex situations for them to make informed decisions and value judgments on how to resolve them. In 1924, Harvard adopted it as a standard teaching method.

What should a professional do in a given situation? This is the question we face in the case method, an action-oriented learning method. Throughout the program, the studies will be presented with multiple real cases. They must integrate all their knowledge, research, argue and defend their ideas and decisions.

Relearning Methodology

TECH effectively combines the Case Study methodology with a 100% online learning system based on repetition, which combines different teaching elements in each lesson.

We enhance the Case Study with the best 100% online teaching method: Relearning.

Our online system will allow you to organize your time and learning pace, adapting it to your schedule. You will be able to access the contents from any device with an internet connection.

At TECH you will learn using a cutting-edge methodology designed to train the executives of the future. This method, at the forefront of international teaching, is called Relearning.

Our online business school is the only one in the world licensed to incorporate this successful method. In 2019, we managed to improve our students' overall satisfaction levels (teaching quality, quality of materials, course structure, objectives...) based on the best online university indicators.



In our program, learning is not a linear process, but rather a spiral (learn, unlearn, forget, and re-learn). Therefore, we combine each of these elements concentrically.

With this methodology we have trained more than 650,000 university graduates with unprecedented success in fields as diverse as biochemistry, genetics, surgery, international law, management skills, sports science, philosophy, law, engineering, journalism, history, markets, and financial instruments. All this in a highly demanding environment, where the students have a strong socio-economic profile and an average age of 43.5 years.

Relearning will allow you to learn with less effort and better performance, involving you more in your specialization, developing a critical mindset, defending arguments, and contrasting opinions: a direct equation to success.

From the latest scientific evidence in the field of neuroscience, not only do we know how to organize information, ideas, images and memories, but we know that the place and context where we have learned something is fundamental for us to be able to remember it and store it in the hippocampus, to retain it in our long-term memory.

In this way, and in what is called neurocognitive context-dependent e-learning, the different elements in our program are connected to the context where the individual carries out their professional activity.



This program offers the best educational material, prepared with professionals in mind:



Study Material

All teaching material is produced by the specialists who teach the course, specifically for the course, so that the teaching content is highly specific and precise.

These contents are then applied to the audiovisual format, to create the TECH online working method. All this, with the latest techniques that offer high quality pieces in each and every one of the materials that are made available to the student.



Classes

There is scientific evidence suggesting that observing third-party experts can be useful.

Learning from an Expert strengthens knowledge and memory, and generates confidence in future difficult decisions.



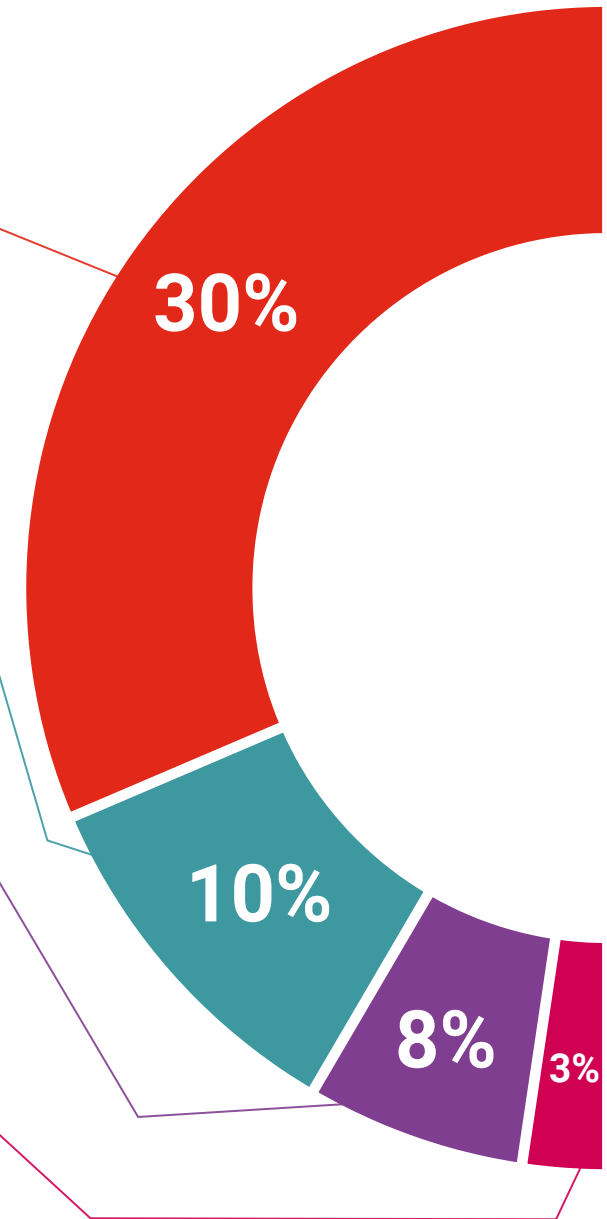
Management Skills Exercises

They will carry out activities to develop specific executive competencies in each thematic area. Practices and dynamics to acquire and develop the skills and abilities that a high-level manager needs to develop in the context of the globalization we live in.



Additional Reading

Recent articles, consensus documents and international guidelines, among others. In TECH's virtual library, students will have access to everything they need to complete their course.





Case Studies

Students will complete a selection of the best case studies chosen specifically for this program. Cases that are presented, analyzed, and supervised by the best senior management specialists in the world.



Interactive Summaries

The TECH team presents the contents attractively and dynamically in multimedia lessons that include audio, videos, images, diagrams, and concept maps in order to reinforce knowledge.

This exclusive educational system for presenting multimedia content was awarded by Microsoft as a "European Success Story".



Testing & Retesting

We periodically evaluate and re-evaluate students' knowledge throughout the program, through assessment and self-assessment activities and exercises, so that they can see how they are achieving their goals.



08

Our Students' Profiles

The Executive Master's Degree is aimed at Graduates who have previously completed any of the following degrees in the field of Social and Legal Sciences, Administration and Economics.

This program uses a multidisciplinary approach as the students have a diverse set of academic profiles and represent multiple nationalities.

The Executive Master's Degree may also be taken by professionals who, being university graduates in any area, have two years of work experience in the field of Compliance Responsibility in the online and offline environment.





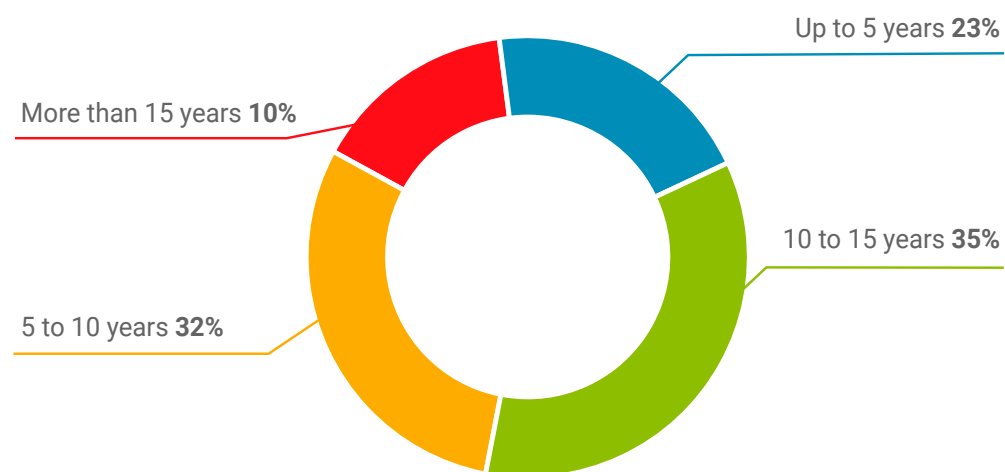
“

Whatever your academic background, if you are looking for a Executive Master's Degree program in Corporate Compliance, this one will suit you and your needs”

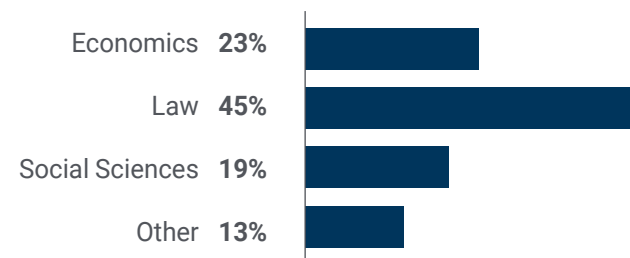
Average Age

Between **35** and **45** years old

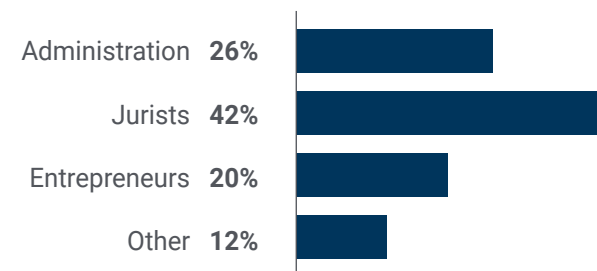
Years of Experience



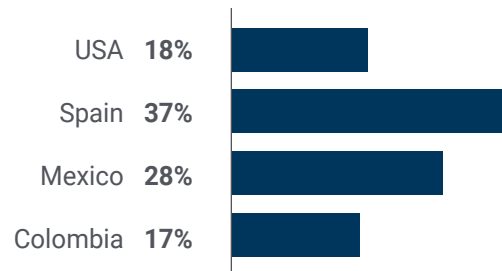
Education



Academic Profile



Geographical Distribution



Regina González

Legal Project Management

"I have been working for more than 30 years in the business and judicial sector in the defense of the rights of entities and in the pursuit of an ethical and globalized activity. That is why, since I discovered TECH and the highly specialized programs it offers, such as this Executive Master's Degree, I have always chosen it to keep up to date with the latest developments in my profession. Thanks to this and to this university, I have been able to reach the position I hold today, because in each degree I have always found the information I need to practice within the current regulatory framework"

09

Course Management

TECH considers it essential to include the best faculty in all its programs. Therefore, for this Executive Master's Degree, TECH has selected a group of professionals versed in different areas of the legal and business field, but all of them specialized in Corporate Compliance. Thanks to this, the graduate will have the highest level of support, which will help them to get the most out of this academic experience. In addition, they will have at their disposal a wide range of resources developed by themselves, drawn from their experience and included exclusively in the curriculum of this degree.





“

A team versed in Corporate Compliance will be at your disposal to guide you through the Executive Master's Degree and to answer any questions you may have through individualized meetings on the Virtual Campus”

Management



Dr. Muñoz Pérez, Ana Felicitas

- ♦ Lawyer specialized in Commercial Law
- ♦ Lawyer in TDP Methods
- ♦ Lawyer in Methods and Business Organizers
- ♦ Lawyer at Lupicinio International Law Firm
- ♦ Lecturer at the URJC
- ♦ PhD in Commercial Law from the Complutense University of Madrid
- ♦ Law Graduate from the Universidad Complutense de Madrid
- ♦ Master's Degree in Legal Consultancy
- ♦ Master in Competition Law

Professors

Dr. Rodríguez Calero, Juan José

- ♦ Trainer of judicial applications at INDRA
- ♦ Legal internship at Ruda Abogados
- ♦ Internship at Abogados & Asesores Europeos
- ♦ Degree in Law
- ♦ Professional Master's Degree in Law

Ms. Baro Aguilera, Carla

- ♦ Lawyer at Jmramírez Law Firm
- ♦ Law Degree from the Autonomous University of Barcelona
- ♦ Professional Master's Degree in Access to the Legal Profession from the Universidad Oberta de Catalunya

Mr. Salcines Gasquet, Sergio

- ♦ Chairman of the Advisory Board of the Institute of Compliance Officers (IOC) and member of the Commission for the Prevention of Money Laundering of the General Council of Spanish Lawyers
- ♦ Manager of the financial sector at Arthur Andersen
- ♦ Founder of Informa Consulting
- ♦ Judicial Expert in *Compliance* at the High Court of Justice of the Basque Country
- ♦ Professor at the Commercial University of Deusto
- ♦ Graduate in Economics and Business Administration from the Commercial University of Deusto
- ♦ Master in Advanced Management (MEGA), Universidad Comercial de Deusto

Dr. Muñoz Pérez, Sergio Antonio

- ♦ Attorney at Law at Lupicinio International Law Firm
- ♦ Lawyer and consultant specializing in Digital Law
- ♦ Legal Project Management
- ♦ Legal Counsel at Ibermática, S.A
- ♦ Legal Consultant at Ibermática, S.A
- ♦ Consultant at Electronic Data Systems SA
- ♦ Professor in the Advanced Course on Data Protection Delegate at the Instituto Universitario de Investigación Ortega y Gasset
- ♦ Law Degree from the University of Alcalá de Henares, Spain
- ♦ Master's Degree in Business Law from the University of the Basque Country
- ♦ Course in Legal Practice ICADE

Ms. Suárez Barcia, Lucía

- ♦ Compliance Lawyer in the Crypto and Digital Assets Unit at Santander
- ♦ Global Compliance Officer at Lana
- ♦ Deputy Director of Compliance at ICBC Spain
- ♦ Lawyer in Compliance Europe at Grove and Lucania Gestión
- ♦ Associate Professor at Instituto de Estudios Bursátiles (IEB)
- ♦ Collaborating professor of the Criminal Compliance module in the Professional Master's Degree in Legal Consultancy at the Complutense University of Madrid
- ♦ Degree in Law from ICADE
- ♦ Degree in Business Management and Administration from ICADE
- ♦ Diploma in Private Law by ICADE
- ♦ Diploma in Financial Inclusion from CAF Development Bank for Latin America
- ♦ Part-Time Professional MBA from IE Business School

Dr. Saiz Sanz, César

- ♦ Head of Legal Services & Chief *Compliance* Officer at Ibermática S.A
- ♦ Legal Counsel IP and Technology Law at Accenture SA
- ♦ Senior Associate in IP and New Technologies Law at Garrigues Abogados
- ♦ Lawyer (New Technologies and Software Contracting) in Anguiano y Asociados
- ♦ Professor in the Master in New Technologies and Intellectual Property Law at Universidad San Pablo CEU
- ♦ Law degree from the Autonomous University of Madrid

10

Impact on Your Career

The course of this Executive Master's Degree will mean for the graduate to take another step forward in their career, towards specialization in an area that is increasingly in demand in the business sector. They will be able to acquire current and exhaustive knowledge, as well as work on perfecting skills such as teamwork, leadership and effective problem solving, especially in crisis situations. Aspects that will undoubtedly allow you to prove your worth in any personnel selection process you may face.



“

The mastery of personal data processing that you will acquire with this Executive Master's Degree will allow you to face the management of any project related to this area with security and guaranteed success”

**Are you ready to take the leap?
Excellent professional development awaits you**

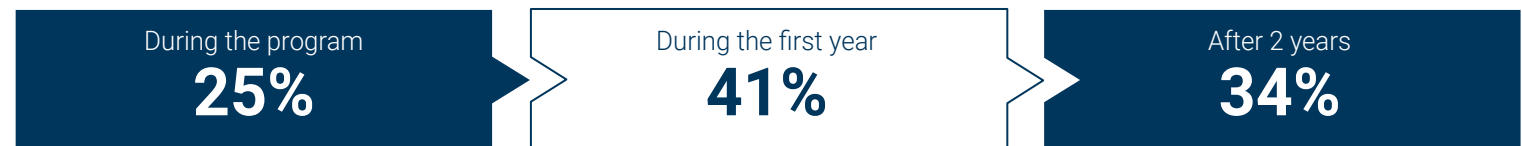
TECH's Executive Master's Degree in Corporate Compliance is an intensive program that prepares you to face challenges and business decisions in the field of Best Practices in the business environment. The main objective is to promote your personal and professional growth. Helping you achieve success.

If you want to improve yourself, make a positive change at a professional level, and network with the best, then this is the place for you.

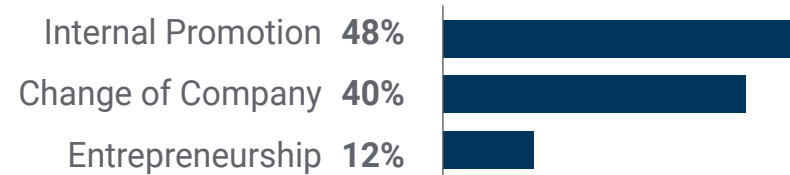
You will share this academic experience with professionals with the same interests as you, who could become future clients In the Long Term.

Working with colleagues from all over the world, you will learn how business is developing in different countries.

When the change occurs



Type of change



Salary increase

This program represents a salary increase of more than **27.32%** for our students



11

Benefits for Your Company

For any company that develops its activity in both digital and offline environments, having a specialized graduate with this Executive Master's Degree is, undoubtedly, a significant asset that cannot be overlooked. They will have the most specialized knowledge in Corporate Compliance, which will allow them to update their activity based on current regulations. In addition, it will enhance the achievement of benefits and the reduction of expenses through a beneficial practice for the entity based on the mitigation of cybersecurity risks.





“

Your company will see its potential grow through the employment of the best cybersecurity strategies and the use of the most innovative technologies”

Developing and retaining talent in companies is the best long-term investment.

01

Growth of talent and intellectual capital

The professional will introduce the company to new concepts, strategies, and perspectives that can bring about significant changes in the organization.

02

Retaining high-potential executives to avoid talent drain

This program strengthens the link between the company and the professional and opens new avenues for professional growth within the company.

03

Building agents of change

You will be able to make decisions in times of uncertainty and crisis, helping the organization overcome obstacles.

04

Increased international expansion possibilities

Thanks to this program, the company will come into contact with the main markets in the world economy.



05

Project Development

The professional can work on a real project or develop new projects in the field of R & D or business development of your company.

06

Increased competitiveness

This program will equip students with the skills to take on new challenges and drive the organization forward.

12 Certificate

The Executive Master's Degree in Corporate Compliance guarantees students, in addition to the most rigorous and up-to-date teaching, the access to a Executive Master's Degree issued by TECH Technological University.





“

Successfully complete this program and receive your university qualification without having to travel or fill out laborious paperwork”

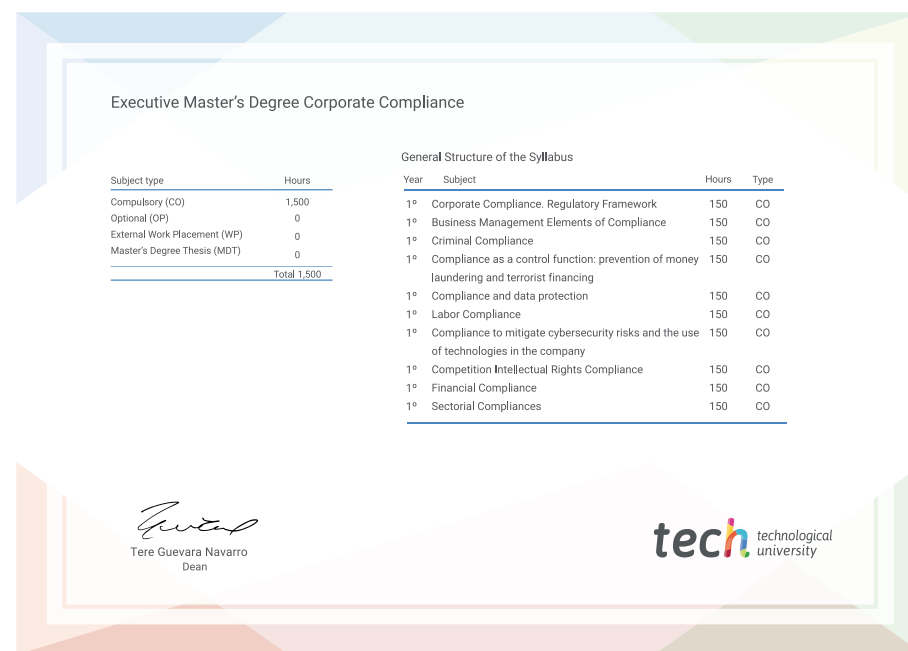
This **Executive Master's Degree in Corporate Compliance** contains the most complete and up-to-date program on the market.

After the student has passed the assessments, they will receive their corresponding **Executive Master's Degree** issued by **TECH Technological University** via tracked delivery*.

The certificate issued by **TECH Technological University** will reflect the qualification obtained in the Executive Master's Degree, and meets the requirements commonly demanded by labor exchanges, competitive examinations, and professional career evaluation committees.

Program: **Executive Master's Degree in Corporate Compliance**

Official N° of Hours: **1,500 h.**



*Apostille Convention. In the event that the student wishes to have their paper diploma issued with an apostille, TECH EDUCATION will make the necessary arrangements to obtain it, at an additional cost.



Executive Master's Degree Corporate Compliance

- » Modality: **online**
- » Duration: **12 months**
- » Certificate: **TECH Technological University**
- » Dedication: **16h/week**
- » Schedule: **at your own pace**
- » Exams: **online**

Executive Master's Degree Corporate Compliance