

Postgraduate Diploma Financial Compliance



Postgraduate Diploma Financial Compliance

- » Modality: online
- » Duration: 6 months
- » Certificate: TECH Global University
- » Credits: 18 ECTS
- » Schedule: at your own pace
- » Exams: online
- » Target Group: University Graduates who have previously completed any of the degrees in the field of Social and Legal Sciences, Administration and Business, as well as graduates in the field of Marketing and Prosecutors

Website: www.techtitute.com/us/school-of-business/postgraduate-diploma/postgraduate-diploma-financial-compliance

Index

01

Welcome

p. 4

02

Why Study at TECH?

p. 6

03

Why Our Program?

p. 10

04

Objectives

p. 14

05

Structure and Content

p. 20

06

Methodology

p. 28

07

Our Students' Profiles

p. 36

08

Course Management

p. 40

09

Impact on Your Career

p. 46

10

Benefits for Your Company

p. 50

11

Certificate

p. 54

01

Welcome

The challenges that have arisen as a result of the development of Financial Compliance have allowed professionals in this sector to work intensively in the design of strategic plans to mitigate risks, saving companies from paying very high amounts for non-compliance with current regulations. For this reason, TECH has considered it necessary to develop a program focused on this area, which will allow those interested to know the ins and outs of this area in detail for a management and business orientation at the highest level. Thus, through a 100% online academic experience of 450 hours, the graduate will have the opportunity to specialize in Financial Compliance through the mastery of the legislative framework and Corporate in the current context.



Postgraduate Diploma in Financial Compliance.
TECH Global University



“

You will be able to advise, identify, alert and monitor the risks of your company in an early manner thanks to the intensive course of this Postgraduate Diploma”

02

Why Study at TECH?

TECH is the world's largest 100% online business school. It is an elite business school, with a model based on the highest academic standards. A world-class center for intensive managerial skills education.



“

TECH is a university at the forefront of technology, and puts all its resources at the student's disposal to help them achieve entrepreneurial success"

At TECH Global University



Innovation

The university offers an online learning model that balances the latest educational technology with the most rigorous teaching methods. A unique method with the highest international recognition that will provide students with the keys to develop in a rapidly-evolving world, where innovation must be every entrepreneur's focus.

"*Microsoft Europe Success Story*", for integrating the innovative, interactive multi-video system.



The Highest Standards

Admissions criteria at TECH are not economic. Students don't need to make a large investment to study at this university. However, in order to obtain a qualification from TECH, the student's intelligence and ability will be tested to their limits. The institution's academic standards are exceptionally high...

95% | of TECH students successfully complete their studies



Networking

Professionals from countries all over the world attend TECH, allowing students to establish a large network of contacts that may prove useful to them in the future.

+100000

executives prepared each year

+200

different nationalities



Empowerment

Students will grow hand in hand with the best companies and highly regarded and influential professionals. TECH has developed strategic partnerships and a valuable network of contacts with major economic players in 7 continents.

+500

collaborative agreements with leading companies



Talent

This program is a unique initiative to allow students to showcase their talent in the business world. An opportunity that will allow them to voice their concerns and share their business vision.

After completing this program, TECH helps students show the world their talent.



Multicultural Context

While studying at TECH, students will enjoy a unique experience. Study in a multicultural context. In a program with a global vision, through which students can learn about the operating methods in different parts of the world, and gather the latest information that best adapts to their business idea.

TECH students represent more than 200 different nationalities.



TECH strives for excellence and, to this end, boasts a series of characteristics that make this university unique:



Analysis

TECH explores the student's critical side, their ability to question things, their problem-solving skills, as well as their interpersonal skills.



Academic Excellence

TECH offers students the best online learning methodology. The university combines the *Relearning* methodology (the most internationally recognized postgraduate learning methodology) with Harvard Business School case studies. A complex balance of traditional and state-of-the-art methods, within the most demanding academic framework.



Economy of Scale

TECH is the world's largest online university. It currently boasts a portfolio of more than 10,000 university postgraduate programs. And in today's new economy, **volume + technology = a groundbreaking price**. This way, TECH ensures that studying is not as expensive for students as it would be at another university.



Learn with the best

In the classroom, TECH's teaching staff discuss how they have achieved success in their companies, working in a real, lively, and dynamic context. Teachers who are fully committed to offering a quality specialization that will allow students to advance in their career and stand out in the business world.

Teachers representing 20 different nationalities.



At TECH, you will have access to the most rigorous and up-to-date case analyses in academia"

03

Why Our Program?

Studying this TECH program means increasing the chances of achieving professional success in senior business management.

It is a challenge that demands effort and dedication, but it opens the door to a promising future. Students will learn from the best teaching staff and with the most flexible and innovative educational methodology.



“

We have highly qualified teachers and the most complete syllabus on the market, which allows us to offer you education of the highest academic level”

This program will provide you with a multitude of professional and personal advantages, among which we highlight the following:

01

A Strong Boost to Your Career

By studying at TECH, students will be able to take control of their future and develop their full potential. By completing this program, students will acquire the skills required to make a positive change in their career in a short period of time.

70% of students achieve positive career development in less than 2 years.

02

Develop a strategic and global vision of the company

TECH offers an in-depth overview of general management to understand how each decision affects each of the company's different functional fields.

Our global vision of companies will improve your strategic vision.

03

Consolidate the student's senior management skills

Studying at TECH means opening the doors to a wide range of professional opportunities for students to position themselves as senior executives, with a broad vision of the international environment.

You will work on more than 100 real senior management cases.

04

You will take on new responsibilities

The program will cover the latest trends, advances and strategies, so that students can carry out their professional work in a changing environment.

45% of graduates are promoted internally.

05

Access to a powerful network of contacts

TECH connects its students to maximize opportunities. Students with the same concerns and desire to grow. Therefore, partnerships, customers or suppliers can be shared.

You will find a network of contacts that will be instrumental for professional development.

06

Thoroughly develop business projects

Students will acquire a deep strategic vision that will help them develop their own project, taking into account the different fields in companies.

20% of our students develop their own business idea.

07

Improve soft skills and management skills

TECH helps students apply and develop the knowledge they have acquired, while improving their interpersonal skills in order to become leaders who make a difference.

Improve your communication and leadership skills and enhance your career.

08

You will be part of an exclusive community

Students will be part of a community of elite executives, large companies, renowned institutions, and qualified teachers from the most prestigious universities in the world: the TECH Global University community.

We give you the opportunity to study with a team of world-renowned teachers.

04 Objectives

Given the high demand in the current labor market for professionals who master Financial Compliance and its tools, TECH has developed this program with the aim of providing graduates with the resources that will allow them to achieve it in just 6 months of 100% online training. In this way, they will be able to work at their own pace in perfecting their skills, adapting their profile to the strictest and most demanding requirements of the best companies.



“

You will cover the regulatory framework of Corporate Compliance from the basics to the latest modifications that have been made so that you can master it in your daily practice”

TECH makes the goals of their students their own goals too.
Working together to achieve them.

The **Postgraduate Diploma in Financial Compliance** will train the student to:

01

Develop specialized knowledge of money laundering, terrorist financing and tax evasion liability affecting companies

04

Define the financial crime obligations of companies

02

Apply the knowledge acquired in the context of the company by relating it to the functioning of the organs of criminal law

05

Clearly express the objectives and methodology followed for the implementation of Prosecutors compliance plan

03

Integrating knowledge and dealing with the complexity of defining an risk plan and controlling Responsibilities

06

Discern and evaluate the different risks affecting a company

07

Analyze the definition of the conduct of companies in the free competition market

10

Analyze the different competition compliance programs in order to achieve the greatest legal precision and economic efficiency

08

Apply the knowledge acquired to the definition of a regulatory compliance program

11

Develop an original risk plan and present it and justify it

09

Integrating knowledge and dealing with the complexity of controlling and preventing illicit activities anti otherwise it the above intellectual rights

12

Evaluate adopted measures in order to avoid competition and industrial property offenses in the market

13

Develop specialized knowledge on compliance in the financial sector as a reference of best practices

14

Apply the knowledge acquired to the definition of a Financial Institutions compliance program

15

Integrating knowledge and dealing with the complexity of controlling and preventing of the sector





16

Determining prohibited conduct in the area of financial sector compliance

17

Clearly express the objectives and methodology followed to implement a competition plan

18

Discern and assess the different risks of a highly regulated and supervised sector

05

Structure and Content

The quality and prestige that define TECH and place it as one of the best digital universities worldwide has been the result of years of effort and struggle to shape the best 100% online educational programs. A team versed in the field works in each of them which is responsible for selecting not only the theoretical information that makes up the syllabus, but also use cases based on real situations and hours of additional high quality material. Thanks to this, it is possible to offer highly educational experiences in a comfortable and flexible format that facilitates the specialization of the graduate from any place, without schedules through any device with internet connection.



“

The Virtual Campus includes detailed videos, research articles, complementary readings, news, IMAGES and much more material for you to personally delve into each section of the syllabus”

Syllabus

TECH invests hundreds of hours in the creation of the best syllabus. These are the result of the exhaustive work done by the teaching team, following the guidelines of quality, timeliness and dynamism that define and differentiate this academic center from the rest of those that offer 100% online training. For this reason, graduates who access this Postgraduate Diploma in Financial Compliance will find the theoretical, practical and additional information in its content that they need to specialize in this area in just 6 months.

It is a program focused on 3 fundamental pillars: Corporate Compliance and its regulatory framework, the business management elements of this technique and the strategies of Compliance Responsibility through sectorial business activity. Thus, you will acquire a multidisciplinary knowledge that will allow you to safely master all aspects of the area of study, acquiring, in addition, the necessary skills to assume the leadership of any project related to this area.

TECH also places special emphasis on the comprehensive work of perfecting the skills of all its graduates in areas such as effective problem solving, time management, control of complex situations or working for the benefit of the company of which it forms part, but always in compliance with current regulations.

This Postgraduate Diploma takes place over 6 months and is divided into 3 modules:

Module 1	Compliance as a control function: prevention of money laundering and terrorism
Module 2	Competition Intellectual Rights Compliance
Module 3	Financial Compliance



Where, When and How is it Taught?

TECH offers the possibility of developing this Postgraduate Diploma in Financial Compliance completely online. Throughout the 6 months of the educational program, you will be able to access all the contents of this program at any time, allowing you to self-manage your study time.

A unique, key, and decisive educational experience to boost your professional development and make the definitive leap.

Module 1. Compliance as a control function: prevention of money laundering and terrorist financing

1.1. Financial crime: impact, elements and phases

- 1.1.1. The threat of financial crime in the economy. Pillars
- 1.1.2. Impact in the Economy
- 1.1.3. Money Laundering
- 1.1.4. Funding of Terrorism
- 1.1.5. Phases of Money Laundering and Terrorist Financing

1.2. Institutions and regulations in the prevention of money laundering and terrorist financing

- 1.2.1. Regulatory and supervisory focus on financial crime issues
- 1.2.2. Institutions and international legal frameworks of reference
- 1.2.3. Local institutions and legal frameworks of reference

1.3. Prevention of Money Laundering

- 1.3.1. Direct activities
- 1.3.2. Principal (financial sector)
- 1.3.3. Secondary (other sectors)
- 1.3.4. Indirect activities: service providers to entities subject to the direct money laundering prevention regime. Gaps

1.4. Program for the prevention of money laundering and financing of terrorism. Implementation and implications

- 1.4.1. Financial crime program. Pillars
- 1.4.2. Design of policies and procedures. Implementation and adaptation of systems
- 1.4.3. Financial crime program. Principles

1.5. Compliance as a support function

- 1.5.1. Compliance as a support function
- 1.5.2. Design of financial crime manuals and policies
- 1.5.3. Development of communication and training strategies

1.6. Compliance as a control function I: identification and verification

- 1.6.1. Identification of customers and counterparties
- 1.6.2. Risk-based approach: verification and periodic reviews
- 1.6.3. Requirements for the last beneficiary

1.7. Compliance as a control function II: Monitoring and suspected

- 1.7.1. Types of suspicious transactions
- 1.7.2. Monitoring design
- 1.7.3. Monitoring flow
- 1.7.4. Types of suspicious transactions

1.8. Compliance as a Control of Function III: Terrorist financing and international financial sanctions: filtering tools

- 1.8.1. Filtering of operations related to international financial sanctions
- 1.8.2. List controls
- 1.8.3. Extraterritoriality: the case of the American supervisor (OFAC)

1.9. New technologies in financial crime

- 1.9.1. Evolution of Finances: FinTech and cryptoassets
- 1.9.2. Technological Revolution. Risks and Opportunities
- 1.9.3. Advances in controls: RegTech

1.10. Tax Compliance: detection of tax evasion structures

- 1.10.1. Tax Compliance. Detection of tax evasion structures
- 1.10.2. International Tax Compliance Regulations: FATCA and CRS
- 1.10.3. Tax evasion structures: Panama Papers

Module 2. Competition and Intellectual Rights Compliance**2.1. Competition Compliance Activity. European Regulatory Framework**

- 2.1.1. Compliance with Competence
- 2.1.2. European Regulations
- 2.1.3. Doctrine and jurisprudence
- 2.1.4. Supervision

2.2. Fundamental Elements of characterization

- 2.2.1. Free competition restriction
- 2.2.2. Vertical restraints
- 2.2.3. Horizontal restraints
- 2.2.4. Collusion

2.3. CNMC's practice in relation to compliance programs

- 2.3.1. Developments in CNMV practice
- 2.3.2. Ex ante program resolutions
- 2.3.3. Ex post program resolutions

2.4. Effective compliance programs. CNMC evaluation criteria

- 2.4.1. Summary of main components
- 2.4.2. Self-diagnostic meter
- 2.4.3. Reporting meter

2.5. The Cartel and the Lobby. Example: the Chilean Lobby Law

- 2.5.1. Chilean Lobby Law
- 2.5.2. Cartel cases
- 2.5.3. Lobbying cases

2.6. Public procurement and anticorruption

- 2.6.1. Characterization elements
- 2.6.2. Extraterritorial international regulations: FCPA and UK Bribery Act
- 2.6.3. Other agents: international transparency and its corruption perception index

2.7. New technologies for competition risk mitigation. Pricing instructions, information and exclusion strategies

- 2.7.1. Basis for evaluation
- 2.7.2. Information with competitive scope
- 2.7.3. Planning and risk mitigation strategy in competition

2.8. Legal framework for compliance with intellectual rights

- 2.8.1. Regulations
- 2.8.2. Contents
- 2.8.3. Aspects of particular consideration

2.9. Risk delimitation

- 2.9.1. Particular study of the scope of development of intellectual rights
- 2.9.2. Risk delimitation
- 2.9.3. Aspects in the praxis for planning and control

2.10. Unlawful acts in the context of intellectual rights

- 2.10.1. Detection
- 2.10.2. Protection Scope
- 2.10.3. Infringement policy

Module 3. Financial Compliance

3.1. Risk structures in Financial Compliance

- 3.1.1. Compliance Risks
- 3.1.2. Reputational risks
- 3.1.3. Regulatory risks
- 3.1.4. Conduct risks
- 3.1.5. Financial crime Risk

3.2. Consumer and investor protection

- 3.2.1. Consumer protection: channels for queries and complaints
- 3.2.2. Special focus groups: financial inclusion and vulnerability
- 3.2.3. Cases Wells Fargo and the Bankia case

3.3. MiFID II. European regulations on Financial Markets

- 3.3.1. MiFID II: objectives, impact and general framework
- 3.3.2. Product catalogue
- 3.3.3. Customer Classification
- 3.3.4. Securities Market Law

3.4. Investment instruments: controls. Appropriateness and suitability

- 3.4.1. Product governance
- 3.4.2. Suitability and convenience test
- 3.4.3. Distribution: communication and advertising campaigns
- 3.4.4. Compliance controls and monitoring

3.5. Market manipulation

- 3.5.1. European Regulation Market Abuse Directive (MAD) and Market Abuse Regulation (MAR)
- 3.5.2. Market Abuse. Pillars
- 3.5.3. Sanctioned behaviors

3.6. Privileged information in the financial markets

- 3.6.1. Privileged information
- 3.6.2. Risk events
- 3.6.3. Control systems

3.7. Controls on the contracting of financial products by remote means

- 3.7.1. The risk of using remote means
- 3.7.2. Protection controls for institutions
- 3.7.3. Consumer protection controls

3.8. Cross-border transactions in finance

- 3.8.1. Globalization: licensing versus access
- 3.8.2. Business licenses and jurisdictions with cross-border services mappings
- 3.8.3. Design of mitigating factor matrices

3.9. Regulatory Change Management

- 3.9.1. Regulatory Change Management
- 3.9.2. Follow-up of regulatory developments and initiatives
- 3.9.3. Mapping and analysis of required actions
- 3.9.4. Coordination and implementation

3.10. Compliance Project Management

- 3.10.1. Compliance as a project manager
- 3.10.2. Pillars in Compliance Project Management
- 3.10.3. Compliance project life cycle



“

Do you want to know the best strategies to design annual compliance plans at the highest level in detail? Enroll in this Postgraduate Diploma and learn how”

06

Methodology

This academic program offers students a different way of learning. Our methodology uses a cyclical learning approach: **Relearning**.

This teaching system is used, for example, in the most prestigious medical schools in the world, and major publications such as the **New England Journal of Medicine** have considered it to be one of the most effective.





“

Discover Relearning, a system that abandons conventional linear learning, to take you through cyclical teaching systems: a way of learning that has proven to be extremely effective, especially in subjects that require memorization"

TECH Business School uses the Case Study to contextualize all content

Our program offers a revolutionary approach to developing skills and knowledge. Our goal is to strengthen skills in a changing, competitive, and highly demanding environment.

“

At TECH, you will experience a learning methodology that is shaking the foundations of traditional universities around the world"



This program prepares you to face business challenges in uncertain environments and achieve business success.



Our program prepares you to face new challenges in uncertain environments and achieve success in your career.

A learning method that is different and innovative

This TECH program is an intensive educational program, created from scratch to present executives with challenges and business decisions at the highest level, whether at the national or international level. This methodology promotes personal and professional growth, representing a significant step towards success. The case method, a technique that lays the foundation for this content, ensures that the most current economic, social and business reality is taken into account.

“

You will learn, through collaborative activities and real cases, how to solve complex situations in real business environments”

The case method has been the most widely used learning system among the world's leading business schools for as long as they have existed. The case method was developed in 1912 so that law students would not only learn the law based on theoretical content. It consisted of presenting students with real-life, complex situations for them to make informed decisions and value judgments on how to resolve them. In 1924, Harvard adopted it as a standard teaching method.

What should a professional do in a given situation? This is the question we face in the case method, an action-oriented learning method. Throughout the program, the studies will be presented with multiple real cases. They must integrate all their knowledge, research, argue and defend their ideas and decisions.

Relearning Methodology

TECH effectively combines the Case Study methodology with a 100% online learning system based on repetition, which combines different teaching elements in each lesson.

We enhance the Case Study with the best 100% online teaching method: Relearning.

Our online system will allow you to organize your time and learning pace, adapting it to your schedule. You will be able to access the contents from any device with an internet connection.

At TECH you will learn using a cutting-edge methodology designed to train the executives of the future. This method, at the forefront of international teaching, is called Relearning.

Our online business school is the only one in the world licensed to incorporate this successful method. In 2019, we managed to improve our students' overall satisfaction levels (teaching quality, quality of materials, course structure, objectives...) based on the best online university indicators.



In our program, learning is not a linear process, but rather a spiral (learn, unlearn, forget, and re-learn). Therefore, we combine each of these elements concentrically.

With this methodology we have trained more than 650,000 university graduates with unprecedented success in fields as diverse as biochemistry, genetics, surgery, international law, management skills, sports science, philosophy, law, engineering, journalism, history, markets, and financial instruments. All this in a highly demanding environment, where the students have a strong socio-economic profile and an average age of 43.5 years.

Relearning will allow you to learn with less effort and better performance, involving you more in your specialization, developing a critical mindset, defending arguments, and contrasting opinions: a direct equation to success.

From the latest scientific evidence in the field of neuroscience, not only do we know how to organize information, ideas, images and memories, but we know that the place and context where we have learned something is fundamental for us to be able to remember it and store it in the hippocampus, to retain it in our long-term memory.

In this way, and in what is called neurocognitive context-dependent e-learning, the different elements in our program are connected to the context where the individual carries out their professional activity.



This program offers the best educational material, prepared with professionals in mind:



Study Material

All teaching material is produced by the specialists who teach the course, specifically for the course, so that the teaching content is highly specific and precise.

These contents are then applied to the audiovisual format, to create the TECH online working method. All this, with the latest techniques that offer high quality pieces in each and every one of the materials that are made available to the student.



Classes

There is scientific evidence suggesting that observing third-party experts can be useful.

Learning from an Expert strengthens knowledge and memory, and generates confidence in future difficult decisions.



Management Skills Exercises

They will carry out activities to develop specific executive competencies in each thematic area. Practices and dynamics to acquire and develop the skills and abilities that a high-level manager needs to develop in the context of the globalization we live in.



Additional Reading

Recent articles, consensus documents and international guidelines, among others. In TECH's virtual library, students will have access to everything they need to complete their course.





Case Studies

Students will complete a selection of the best case studies chosen specifically for this program. Cases that are presented, analyzed, and supervised by the best senior management specialists in the world.



Interactive Summaries

The TECH team presents the contents attractively and dynamically in multimedia lessons that include audio, videos, images, diagrams, and concept maps in order to reinforce knowledge.

This exclusive educational system for presenting multimedia content was awarded by Microsoft as a "European Success Story".



Testing & Retesting

We periodically evaluate and re-evaluate students' knowledge throughout the program, through assessment and self-assessment activities and exercises, so that they can see how they are achieving their goals.



07

Our Students' Profiles

The Postgraduate Diploma is aimed for graduates who have previously completed any of the following degrees in the field of Social and Legal Sciences, Administration and Economics.

This program uses a multidisciplinary approach as the students have a diverse set of academic profiles and represent multiple nationalities.

The Postgraduate Diploma can also be taken by professionals who, being university graduates in any field, have two years of work experience in the field of Financial Compliance





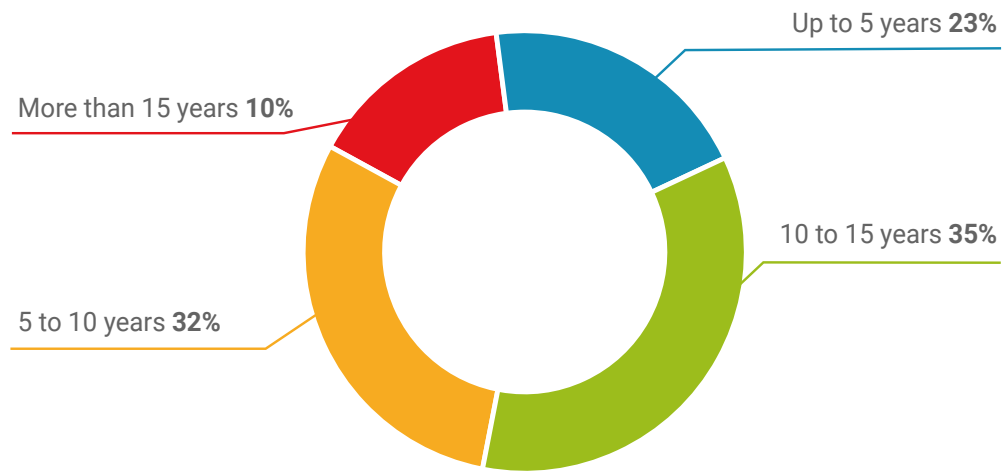
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A program that adapts to you and your needs through a flexible and convenient curriculum comprised of 450 hours of the best theoretical, practical and additional content”

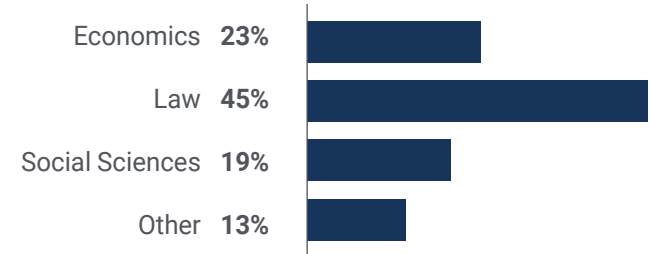
Average Age

Between **35** and **45** years old

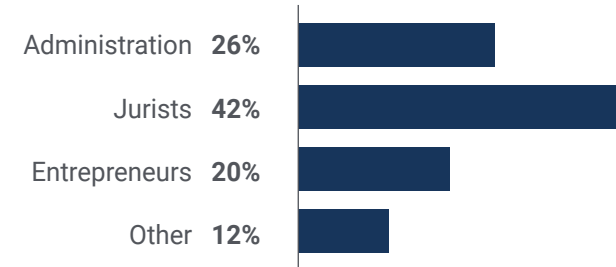
Years of Experience



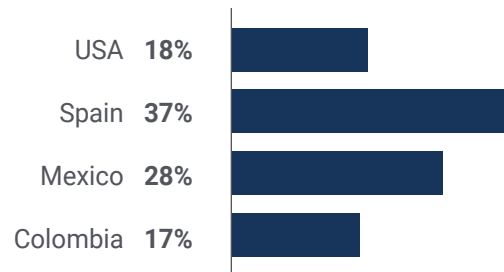
Training



Educational Profile



Geographical Distribution



Rosaura Mesa

Global Compliance Officer

This Postgraduate Certificate has been, without a doubt, one of the best decisions I have ever made in my life. It is a dynamic and flexible program that has allowed me to find all the information I needed to get up to date on Financial Compliance in one place. It has helped me to develop skills that I didn't even know I had"

08

Course Management

Not all universities include the teaching support formed by teams versed in the area in which the degree is developed in their programs. However, TECH does. In addition, this university subjects candidates to an exhaustive and demanding analysis, resulting in the creation of the best faculty, made up of specialists with a broad and extensive professional career in the sector, as is the case of this Postgraduate Diploma in Financial Compliance.



“

The teaching team has extracted use cases from its own experience so that you can put into practice your competencies in business defense through the Sectorial Compliance strategies that you will find in the program”

International Guest Director

Awarded twice for her collaborative work with the Disney Destination International team, Anna Odell is a prestigious Data Protection specialist. With an extensive career spanning more than 20 years, her work has focused on ensuring compliance and developing multiple privacy policies.

Her knowledge and skills have allowed her to be part of recognized international reference companies such as Walt Disney in the United Kingdom. In this way, she has been in charge of conducting both periodic audits and impact assessments to optimize data management practices. At the same time, she has supervised the response to any incident related to information security, including breaches or unauthorized access. In this regard, she has also provided numerous trainings to employees on the optimal handling of personal data and compliance with privacy regulations.

Likewise, she has led technological projects involving the use of personal data, ensuring that the appropriate privacy standards are met. In line with this, she has acted as a point of contact between companies and regulatory authorities on data protection issues, responding to requests and reports.

Moreover, she has led a variety of initiatives that have helped parents to establish procedures for their children to make responsible use of the Internet. In this way, she has helped to make families aware of the websites that minors visit, the sites on which they register their personal data and even their registrations. Thanks to this, she has prevented children from being confronted with inappropriate content such as violent or sexual images or those that promote dangerous behavior. She has also made it possible to detect early signs of bullying, giving parents the opportunity to intervene to support their children.



Ms. Odell, Anna

- Director of Data Protection and Privacy at the Walt Disney Company, London, United Kingdom
- Chief Compliance, Operations and Communications Officer, Disney Cruise Line, Florida
- Senior Manager of Data Protection and Compliance EMEA at the Walt Disney Company
- Manager of Broadcast Operations at Disney Channel, London

“

Thanks to TECH, you will be able to learn with the best professionals in the world”

Management



Dr. Muñoz Pérez, Ana Felicitas

- ♦ Lawyer specialized in Commercial Law
- ♦ Lawyer in TDP Methods
- ♦ Lawyer in Methods and Business Organizers
- ♦ Lawyer at Lupicinio International Law Firm
- ♦ Lecturer at the URJC
- ♦ PhD in Commercial Law from the Complutense University of Madrid
- ♦ Law Graduate from the Universidad Complutense de Madrid
- ♦ Master's Degree in Legal Consultancy
- ♦ Master in Competition Law

Professors

Ms. Suárez Barcia, Lucía

- ♦ Compliance Lawyer in the Crypto and Digital Assets Unit at Santander
- ♦ Global Compliance Officer at Lana
- ♦ Deputy Director of Compliance at ICBC Spain
- ♦ Lawyer in Compliance Europe at Grove and Lucania Gestión
- ♦ Associate Professor at Instituto de Estudios Bursátiles (IEB)
- ♦ Collaborating professor of the Criminal Compliance module in the Master's Degree in Legal Consultancy at the Complutense University of Madrid
- ♦ Degree in Law from ICADE
- ♦ Degree in Business Management and Administration from ICADE
- ♦ Diploma in Private Law by ICADE
- ♦ Diploma in Financial Inclusion from CAF Development Bank for Latin America
- ♦ Part-Time Professional MBA from IE Business School
- ♦ Diploma in Private Law by ICADE



09

Impact on Your Career

The comprehensiveness and high degree of specialization of this program will provide the graduate with an exclusive plus, thanks to which he or she will stand out among his or her peers for mastering the best Financial Compliance strategies. This will allow them to carry out more secure projects, based on the most effective tactics for the promotion of security and the strictest regulatory compliance in today's business market.



“

You will be successful for the ethics of your compliance practice after this Postgraduate Diploma”

Are you ready to take the leap? Excellent professional development awaits you

TECH's Postgraduate Diploma in Financial Compliance is an intensive program that prepares you to face challenges and business decisions in the field of good practice in the business environment. The main objective is to promote your personal and professional growth. Helping you achieve success.

If you want to improve yourself, make a positive change at a professional level, and network with the best, then this is the place for you.

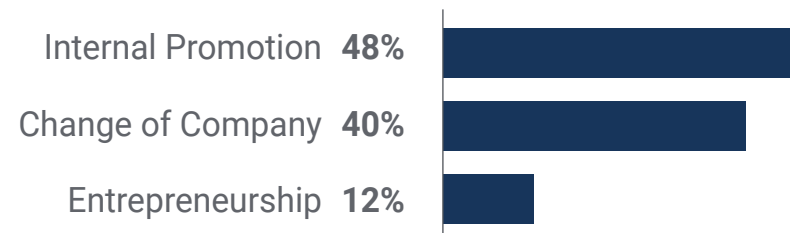
You will have access to an international networking network where you will find professionals with the same interests as you.

Mastering conflict prevention in the corporate framework of Financial Compliance will make you an indispensable asset.

Time of Change



Type of change



Salary increase

This program represents a salary increase of more than **27.32%** for our students



10

Benefits for Your Company

Mastery of the Financial Compliance tools that the graduate will acquire with the course of this Postgraduate Diploma will allow the company to grow exponentially through an activity based on detailed compliance with the current regulatory framework. Thus, they will promote ethical and globalized practices through an optimal management of resources, an adequate contracting and an effective quotation based on international legislation.



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*Give your company the extra quality you need
by training as a top level compliance officer
with TECH and this very complete program”*

Developing and retaining talent in companies is the best long-term investment.

01

Growth of talent and intellectual capital

The professional will introduce the company to new concepts, strategies, and perspectives that can bring about significant changes in the organization.

02

Retaining high-potential executives to avoid talent drain

This program strengthens the link between the company and the professional and opens new avenues for professional growth within the company.

03

Building agents of change

You will be able to make decisions in times of uncertainty and crisis, helping the organization overcome obstacles.

04

Increased international expansion possibilities

Thanks to this program, the company will come into contact with the main markets in the world economy.



05

Project Development

The professional can work on a real project or develop new projects in the field of R & D or business development of your company.

06

Increased competitiveness

This program will equip students with the skills to take on new challenges and drive the organization forward.

11

Certificate

The Postgraduate Diploma in the Financial Compliance guarantees students, in addition to the most rigorous and up-to-date education, access to a Postgraduate Diploma qualification issued by TECH Global University.



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*Successfully complete this program
and receive your university qualification
without having to travel or fill out
laborious paperwork”*

This program will allow you to obtain your **Postgraduate Diploma in Financial Compliance** endorsed by **TECH Global University**, the world's largest online university.

TECH Global University is an official European University publicly recognized by the Government of Andorra ([official bulletin](#)). Andorra is part of the European Higher Education Area (EHEA) since 2003. The EHEA is an initiative promoted by the European Union that aims to organize the international training framework and harmonize the higher education systems of the member countries of this space. The project promotes common values, the implementation of collaborative tools and strengthening its quality assurance mechanisms to enhance collaboration and mobility among students, researchers and academics.

This **TECH Global University** title is a European program of continuing education and professional updating that guarantees the acquisition of competencies in its area of knowledge, providing a high curricular value to the student who completes the program.

Title: **Postgraduate Diploma in Financial Compliance**

Modality: **online**

Duration: **6 months**

Accreditation: **18 ECTS**



*Apostille Convention. In the event that the student wishes to have their paper diploma issued with an apostille, TECH Global University will make the necessary arrangements to obtain it, at an additional cost.



Postgraduate Diploma Financial Compliance

- » Modality: online
- » Duration: 6 months
- » Certificate: TECH Global University
- » Credits: 18 ECTS
- » Schedule: at your own pace
- » Exams: online

Postgraduate Diploma Financial Compliance