

Postgraduate Certificate Corporate Compliance Management





Postgraduate Certificate Corporate Compliance Management

- » Modality: online
- » Duration: 12 weeks
- » Certificate: TECH Technological University
- » Dedication: 16h/week
- » Schedule: at your own pace
- » Exams: online

Website: www.techtute.com/pk/school-of-business/postgraduate-certificate/corporate-compliance-management

Index

01

Welcome

p. 4

02

Why Study at TECH?

p. 6

03

Why Our Program?

p. 10

04

Objectives

p. 14

05

Structure and Content

p. 18

06

Methodology

p. 24

07

Our Students' Profiles

p. 32

08

Course Management

p. 36

09

Impact on Your Career

p. 40

10

Benefits for Your Company

p. 44

11

Certificate

p. 48

01 Welcome

Compliance is essential in the business sector to ensure legality and ethics in business, thus preventing reputational risks and financial penalties. To address this key area, this degree is designed to provide the tools and knowledge necessary to effectively manage the function of compliance plans. The degree focuses on topics such as leadership, communication, monitoring, whistleblowing channels, incentives and disciplinary measures, in addition to Corporate Compliance, the recording of plans and reporting of results or the relationship of the Compliance department with others. In addition, this academic opportunity is 100% online and gives the student the baton of the management of their study sessions.



Postgraduate Certificate in Corporate Compliance Management.
TECH Technological University



“

Get all the keys to master compliance at the corporate level and avoid all kinds of risks for your company”

02

Why Study at TECH?

TECH is the world's largest 100% online business school. It is an elite business school, with a model based on the highest academic standards. A world-class center for intensive managerial skills education.



“

TECH is a university at the forefront of technology, and puts all its resources at the student's disposal to help them achieve entrepreneurial success"

At TECH Technological University



Innovation

The university offers an online learning model that balances the latest educational technology with the most rigorous teaching methods. A unique method with the highest international recognition that will provide students with the keys to develop in a rapidly-evolving world, where innovation must be every entrepreneur's focus.

"*Microsoft Europe Success Story*", for integrating the innovative, interactive multi-video system.



The Highest Standards

Admissions criteria at TECH are not economic. Students don't need to make a large investment to study at this university. However, in order to obtain a qualification from TECH, the student's intelligence and ability will be tested to their limits. The institution's academic standards are exceptionally high...

95% | of TECH students successfully complete their studies



Networking

Professionals from countries all over the world attend TECH, allowing students to establish a large network of contacts that may prove useful to them in the future.

+100000

executives prepared each year

+200

different nationalities



Empowerment

Students will grow hand in hand with the best companies and highly regarded and influential professionals. TECH has developed strategic partnerships and a valuable network of contacts with major economic players in 7 continents.

+500

collaborative agreements with leading companies



Talent

This program is a unique initiative to allow students to showcase their talent in the business world. An opportunity that will allow them to voice their concerns and share their business vision.

After completing this program, TECH helps students show the world their talent.



Multicultural Context

While studying at TECH, students will enjoy a unique experience. Study in a multicultural context. In a program with a global vision, through which students can learn about the operating methods in different parts of the world, and gather the latest information that best adapts to their business idea.

TECH students represent more than 200 different nationalities.



TECH strives for excellence and, to this end, boasts a series of characteristics that make this university unique:



Analysis

TECH explores the student's critical side, their ability to question things, their problem-solving skills, as well as their interpersonal skills.



Academic Excellence

TECH offers students the best online learning methodology. The university combines the Relearning method (postgraduate learning methodology with the best international valuation) with the Case Study. Tradition and vanguard in a difficult balance, and in the context of the most demanding educational itinerary.



Economy of Scale

TECH is the world's largest online university. It currently boasts a portfolio of more than 10,000 university postgraduate programs. And in today's new economy, **volume + technology = a ground-breaking price**. This way, TECH ensures that studying is not as expensive for students as it would be at another university.



Learn with the best

In the classroom, TECH's teaching staff discuss how they have achieved success in their companies, working in a real, lively, and dynamic context. Teachers who are fully committed to offering a quality specialization that will allow students to advance in their career and stand out in the business world.

Teachers representing 20 different nationalities.



At TECH, you will have access to the most rigorous and up-to-date case analyses in academia"

03

Why Our Program?

Studying this TECH program means increasing the chances of achieving professional success in senior business management.

It is a challenge that demands effort and dedication, but it opens the door to a promising future. Students will learn from the best teaching staff and with the most flexible and innovative educational methodology.



“

We have highly qualified teachers and the most complete syllabus on the market, which allows us to offer you education of the highest academic level”

This program will provide you with a multitude of professional and personal advantages, among which we highlight the following:

01

A Strong Boost to Your Career

By studying at TECH, students will be able to take control of their future and develop their full potential. By completing this program, students will acquire the skills required to make a positive change in their career in a short period of time.

70% of students achieve positive career development in less than 2 years.

02

Develop a strategic and global vision of the company

TECH offers an in-depth overview of general management to understand how each decision affects each of the company's different functional fields.

Our global vision of companies will improve your strategic vision.

03

Consolidate the student's senior management skills

Studying at TECH means opening the doors to a wide range of professional opportunities for students to position themselves as senior executives, with a broad vision of the international environment.

You will work on more than 100 real senior management cases.

04

You will take on new responsibilities

The program will cover the latest trends, advances and strategies, so that students can carry out their professional work in a changing environment.

45% of graduates are promoted internally.

05

Access to a powerful network of contacts

TECH connects its students to maximize opportunities. Students with the same concerns and desire to grow. Therefore, partnerships, customers or suppliers can be shared.

You will find a network of contacts that will be instrumental for professional development.

06

Thoroughly develop business projects.

Students will acquire a deep strategic vision that will help them develop their own project, taking into account the different fields in companies.

20% of our students develop their own business idea.

07

Improve soft skills and management skills

TECH helps students apply and develop the knowledge they have acquired, while improving their interpersonal skills in order to become leaders who make a difference.

Improve your communication and leadership skills and enhance your career.

08

You will be part of an exclusive community

Students will be part of a community of elite executives, large companies, renowned institutions, and qualified teachers from the most prestigious universities in the world: the TECH Technological University community.

We give you the opportunity to study with a team of world-renowned teachers.

04 Objectives

The increasing use of information and communication technologies in the marketplace has led to an increase in cases of corporate fraud, which has made Compliance a crucial tool in the fight against misconduct. With this in mind, TECH has created this Postgraduate Certificate with the objective of enabling professionals to handle in detail the fundamental aspects of this field, and be equipped with the necessary resources to carry out an effective and ethical service of identification and classification of legal risks.



“

*Achieve success in compliance management
by mastering enterprise risk control tools”*

TECH makes the goals of their students their own goals too.
Working together to achieve them.

The Postgraduate Certificate in Corporate Compliance Management will enable the student to:

01

Analyze the principles of corporate governance and identify the risks that affect them

02

Mastering the relevant tools to make the institution of Compliance work

03

Interpret the main indicators of the corporate compliance activity

04

Examine the tool that underpins the operation of a whistleblower channel for the detection and sanctioning of punishable conducts



05

Adapt the information received from the channels to the objectives of the compliance program planning

06

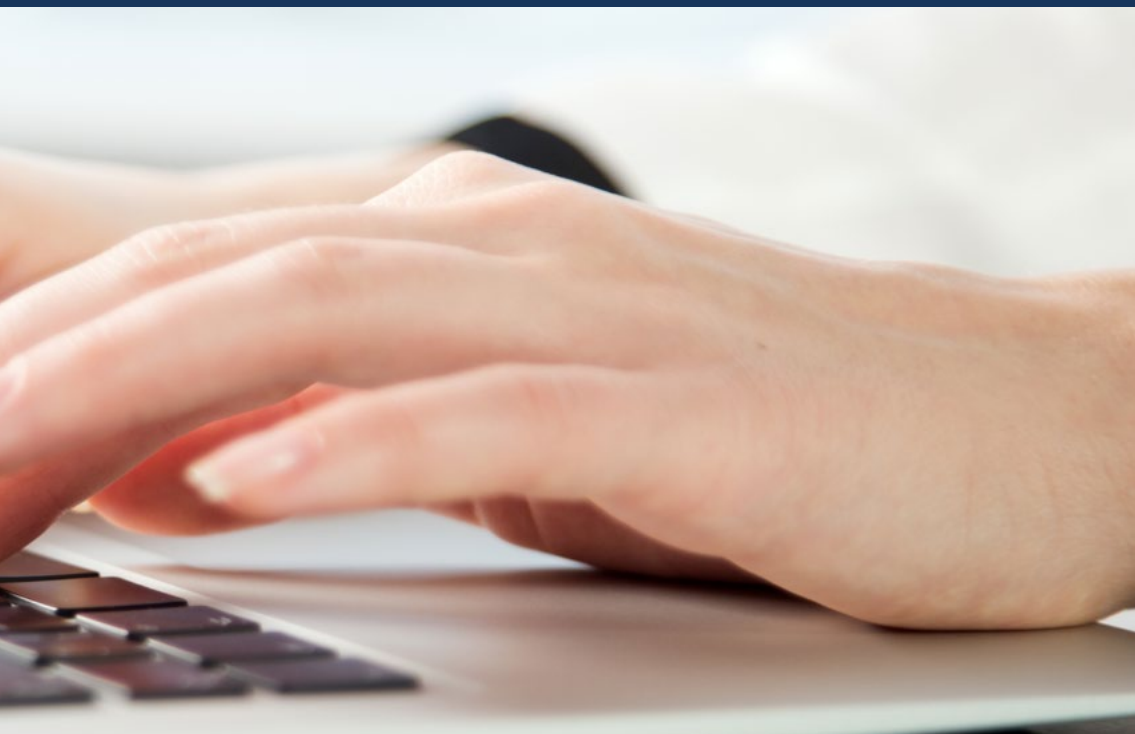
To be able to master the various tools at the service of the enterprise risk control activity and to acquire ability to identify these risks

07

Classify, record and assess the scope
Tasks of a compliance officer

08

Identify and evaluate the measures to be taken



05

Structure and Content

The Postgraduate Certificate in Corporate Compliance Management is presented as a fully customized online Postgraduate Certificate, which adapts perfectly to the needs of schedules and interests. Its 100% online modality makes it easy to choose the time and place that best suits the student's availability. With a duration of 12 weeks, this Postgraduate Certificate seeks to provide a unique and rewarding educational experience that lays the foundation for professional success.



“

Access with just a PC or Tablet to a Postgraduate Certificate that will take your career to unsuspected heights”

Syllabus

The Postgraduate Certificate in Corporate Compliance Management from TECH Technological University is a program designed to provide a unique and intensive experience that will prepare you to face challenges and make business decisions in the field of Compliance.

The curriculum is focused on developing management skills to make more rigorous decisions in uncertain environments, and has a duration of 12 weeks, being perfectly compatible with any personal or professional obligation.

Through the realization of practical cases, both in teams and individually, we seek to achieve an immersion in real business situations. In addition, emphasis is placed on the importance of the digital world, the legislative framework and e-commerce in companies to train students in a strategic, international and innovative perspective of Compliance.

This Postgraduate Certificate is designed with students with a management profile in mind, with a focus on perfecting their professional skills and achieving excellence in business management. It contains innovative content based on the latest trends, and is delivered through the best educational methodology and an exceptional faculty.

This Postgraduate Certificate takes place over 12 weeks and is divided into 2 modules:

Module 1

Corporate Compliance. Regulatory Framework.

Module 2

Business Management Elements of Compliance



Where, When and How is it Taught?

TECH offers the possibility of taking this Postgraduate Certificate completely online. During the 12 weeks of the specialization, the student will be able to access all the contents of this program at any time, which will allow the students to self-manage their study time.

A unique, key, and decisive educational experience to boost your professional development and make the definitive leap.

Module 1. Corporate Compliance. Regulatory Framework.

1.1. Corporate Compliance. Development in Organizations

- 1.1.1. Corporate Compliance
- 1.1.2. Compliance and Regulatory Compliance
- 1.1.3. Compliance within Organizations

1.2. Compliance function and requirements

- 1.2.1. Compliance culture
- 1.2.2. Position in the organization
- 1.2.3. Reference terms of the Compliance function
- 1.2.4. Interaction With Other Function

1.3. Industry standards and best practices (ISO rules)

- 1.3.1. ISO 37301
- 1.3.2. UNE 19601:2017 on Criminal Compliance Management Systems
- 1.3.3. ISO 37001 Anti-bribery management systems standard
- 1.3.4. UNE-/ IEC 27000 Standards. Information Security Management System (ISMS)
- 1.3.5. UNE-/ IEC 20000 Standards. IT Service Management System (ITSMS)

1.4. Internal regulations of the organizations

- 1.4.1. Hierarchy of policies
- 1.4.2. The rule of rules or rule 0
- 1.4.3. Ethical Codes
- 1.4.4. Structuring and production
- 1.4.5. Revision and updating
- 1.4.6. Advertising and Communication

1.5. Ethics in the Compliance Framework

- 1.5.1. Business ethics
- 1.5.2. Theories of Business Ethics
- 1.5.3. Ethical performance and reputational impact

1.6. Compliance leadership and culture

- 1.6.1. Leadership in organizations: importance of tone at the top
- 1.6.2. Development of a control structure: the three lines of defense
- 1.6.3. Metrics of effective leadership in Compliance

1.7. Prevention of conflicts of interest in the corporate framework

- 1.7.1. Conflicts of interest in the corporate framework
- 1.7.2. Types of conflicts of interest
- 1.7.3. Conflict Management interest rate

1.8. Compliance officer

- 1.8.1. Compliance officer profile
- 1.8.2. Compliance officer in Spanish regulation
- 1.8.3. Interaction With Other Function

1.9. Compliance officer Tasks

- 1.9.1. Compliance officer Tasks
- 1.9.2. The problem of their heterogeneity
- 1.9.3. Structures of compliance tasks

1.10. Responsibility of the compliance officer

- 1.10.1. Responsibility of senior management in Compliance
- 1.10.2. Responsibility of the compliance officer
- 1.10.3. Responsibility of the rest of the organization regarding Compliance

Module 2. Business Management Elements of Compliance

<p>2.1. Leadership. Planning. Operation Evaluation. Improvement of procedures</p> <p>2.1.1. Philosophies behind Compliance cycles: PDCA</p> <p>2.1.2. Philosophies behind Compliance cycles: Kaizen</p> <p>2.1.3. Philosophies behind Compliance cycles:</p>	<p>2.2. Communication Training. Sensitization in the Company</p> <p>2.2.1. Philosophies behind Compliance cycles:</p> <p>2.2.2. Communication Structure in <i>Compliance</i></p> <p>2.2.3. Personnel Training</p> <p>2.2.4. Internalization of Compliance</p>	<p>2.3. Monitoring. Procedure. Plan: execution of the plan</p> <p>2.3.1. Compliance monitoring and testing</p> <p>2.3.2. Differences with audit functions</p> <p>2.3.3. Compliance plan design</p> <p>2.3.4. Design of the monitoring and testing program</p> <p>2.3.5. Plan implementation and execution</p> <p>2.3.6. Reporting of results</p>	<p>2.4. Complaint and investigation channels in compliance procedures</p> <p>2.4.1. Whistleblowing channels: European regulation</p> <p>2.4.2. Types of whistleblowing channels</p> <p>2.4.3. Design of whistleblowing channels. Components</p> <p>2.4.4. Flows and processes in whistleblowing cases</p>
<p>2.5. Disciplinary measures and incentives for the implementation of compliance programs</p> <p>2.5.1. Compliance as a function of control and identification of misconduct</p> <p>2.5.2. Relationship with human resources and management</p> <p>2.5.3. Incentive and disciplinary system</p>	<p>2.6. Compliance Plans Records</p> <p>2.6.1. Record keeping of compliance plans</p> <p>2.6.2. Content information</p> <p>2.6.3. Record keeping procedure</p>	<p>2.7. Annual Compliance Plan</p> <p>2.7.1. Elements of the annual compliance plan</p> <p>2.7.2. Design of the annual compliance plan its approval</p> <p>2.7.3. Monitoring of the annual <i>Compliance</i> plan</p> <p>2.7.4. Annual Compliance Plan Report</p>	<p>2.8. Information and results reporting</p> <p>2.8.1. Management Information (MI): SMART information</p> <p>2.8.2. Internal reports generated by the Compliance Function</p> <p>2.8.3. External reports generated by the Compliance Function</p>
<p>2.9. Corporate Compliance. Good Governing</p> <p>2.9.1. International and local background</p> <p>2.9.2. Corporate Compliance. Good governance: keys</p> <p>2.9.3. Increased focus on ESG Compliance</p>	<p>2.10. Relationship of the Compliance department with other departments of the organization</p> <p>2.10.1. Relationship with senior management</p> <p>2.10.2. Relation with the Committee of <i>Compliance</i></p> <p>2.10.3. Relationship with the third line of defense: internal audit</p> <p>2.10.4. Relationship with the first line of defense: business and support functions</p> <p>2.10.5. Relationship with the second line of defense: Risk</p>		



Delve into the relationship between the Compliance department and the rest of the company with TECH"

06

Methodology

This academic program offers students a different way of learning. Our methodology uses a cyclical learning approach: **Relearning**.

This teaching system is used, for example, in the most prestigious medical schools in the world, and major publications such as the **New England Journal of Medicine** have considered it to be one of the most effective.





“

Discover Relearning, a system that abandons conventional linear learning, to take you through cyclical teaching systems: a way of learning that has proven to be extremely effective, especially in subjects that require memorization”

TECH Business School uses the Case Study to contextualize all content

Our program offers a revolutionary approach to developing skills and knowledge. Our goal is to strengthen skills in a changing, competitive, and highly demanding environment.

“

At TECH, you will experience a learning methodology that is shaking the foundations of traditional universities around the world”



This program prepares you to face business challenges in uncertain environments and achieve business success.



Our program prepares you to face new challenges in uncertain environments and achieve success in your career.

A learning method that is different and innovative

This TECH program is an intensive educational program, created from scratch to present executives with challenges and business decisions at the highest level, whether at the national or international level. This methodology promotes personal and professional growth, representing a significant step towards success. The case method, a technique that lays the foundation for this content, ensures that the most current economic, social and business reality is taken into account.

“

You will learn, through collaborative activities and real cases, how to solve complex situations in real business environments”

The case method has been the most widely used learning system among the world's leading business schools for as long as they have existed. The case method was developed in 1912 so that law students would not only learn the law based on theoretical content. It consisted of presenting students with real-life, complex situations for them to make informed decisions and value judgments on how to resolve them. In 1924, Harvard adopted it as a standard teaching method.

What should a professional do in a given situation? This is the question we face in the case method, an action-oriented learning method. Throughout the program, the studies will be presented with multiple real cases. They must integrate all their knowledge, research, argue and defend their ideas and decisions.

Relearning Methodology

TECH effectively combines the Case Study methodology with a 100% online learning system based on repetition, which combines different teaching elements in each lesson.

We enhance the Case Study with the best 100% online teaching method: Relearning.

Our online system will allow you to organize your time and learning pace, adapting it to your schedule. You will be able to access the contents from any device with an internet connection.

At TECH you will learn using a cutting-edge methodology designed to train the executives of the future. This method, at the forefront of international teaching, is called Relearning.

Our online business school is the only one in the world licensed to incorporate this successful method. In 2019, we managed to improve our students' overall satisfaction levels (teaching quality, quality of materials, course structure, objectives...) based on the best online university indicators.



In our program, learning is not a linear process, but rather a spiral (learn, unlearn, forget, and re-learn). Therefore, we combine each of these elements concentrically.

With this methodology we have trained more than 650,000 university graduates with unprecedented success in fields as diverse as biochemistry, genetics, surgery, international law, management skills, sports science, philosophy, law, engineering, journalism, history, markets, and financial instruments. All this in a highly demanding environment, where the students have a strong socio-economic profile and an average age of 43.5 years.

Relearning will allow you to learn with less effort and better performance, involving you more in your specialization, developing a critical mindset, defending arguments, and contrasting opinions: a direct equation to success.

From the latest scientific evidence in the field of neuroscience, not only do we know how to organize information, ideas, images and memories, but we know that the place and context where we have learned something is fundamental for us to be able to remember it and store it in the hippocampus, to retain it in our long-term memory.

In this way, and in what is called neurocognitive context-dependent e-learning, the different elements in our program are connected to the context where the individual carries out their professional activity.



This program offers the best educational material, prepared with professionals in mind:



Study Material

All teaching material is produced by the specialists who teach the course, specifically for the course, so that the teaching content is highly specific and precise.

These contents are then applied to the audiovisual format, to create the TECH online working method. All this, with the latest techniques that offer high quality pieces in each and every one of the materials that are made available to the student.



Classes

There is scientific evidence suggesting that observing third-party experts can be useful.

Learning from an Expert strengthens knowledge and memory, and generates confidence in future difficult decisions.



Management Skills Exercises

They will carry out activities to develop specific executive competencies in each thematic area. Practices and dynamics to acquire and develop the skills and abilities that a high-level manager needs to develop in the context of the globalization we live in.



Additional Reading

Recent articles, consensus documents and international guidelines, among others. In TECH's virtual library, students will have access to everything they need to complete their course.





Case Studies

Students will complete a selection of the best case studies chosen specifically for this program. Cases that are presented, analyzed, and supervised by the best senior management specialists in the world.



Interactive Summaries

The TECH team presents the contents attractively and dynamically in multimedia lessons that include audio, videos, images, diagrams, and concept maps in order to reinforce knowledge.

This exclusive educational system for presenting multimedia content was awarded by Microsoft as a "European Success Story".



Testing & Retesting

We periodically evaluate and re-evaluate students' knowledge throughout the program, through assessment and self-assessment activities and exercises, so that they can see how they are achieving their goals.



07

Our Students' Profiles

The Executive Master's Degree is aimed at Graduates who have previously completed any of the following degrees in the field of Social and Legal Sciences, Administration and Economics.

This program uses a multidisciplinary approach as the students have a diverse set of academic profiles and represent multiple nationalities.

The Postgraduate Certificate may also be taken by professionals who, being university graduates in any area, have two years of work experience in the field of Compliance.





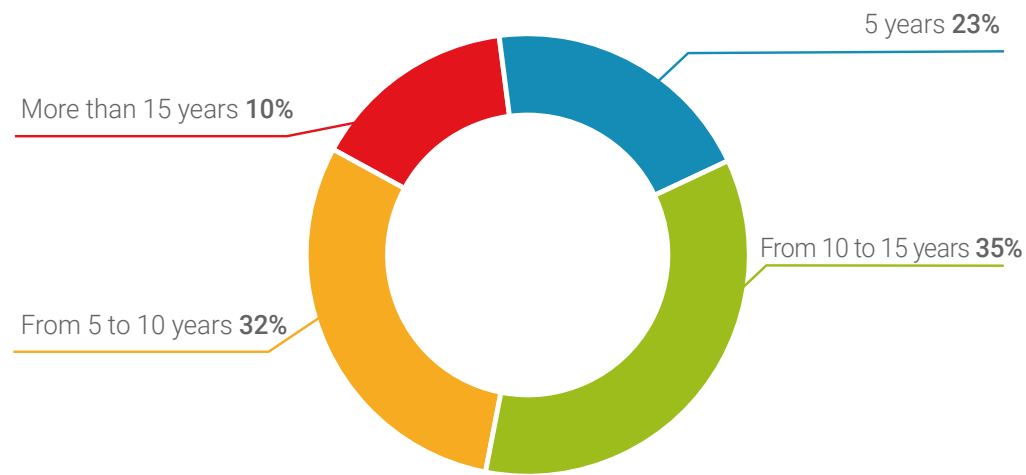
“

If you have experience in Compliance and are looking for an interesting career enhancement while continuing to work, this is the Postgraduate Certificate for you"

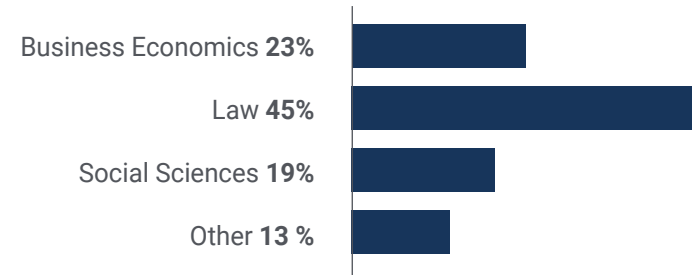
Average Age

Between **35** and **45** years old

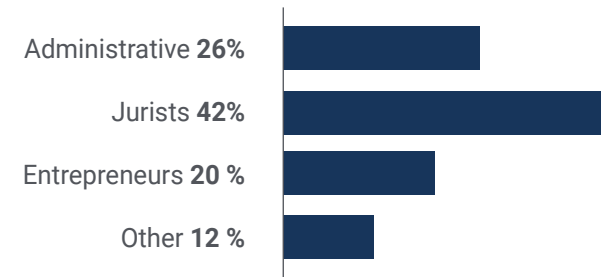
Years of Experience



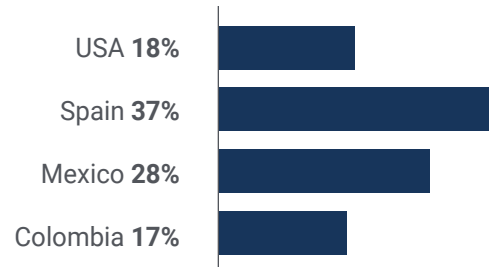
Training



Educational Profile



Geographical Distribution



Vanessa Sánchez Mendoza

Legal department coordination

"After seeing how other competing companies had suffered costly sanctions that were avoidable, I decided to specialize in Corporate Compliance and came across this degree. Thanks to it, I was able to master all the key aspects of their business management and combine it with my work, so it has been extremely useful for me"

08

Course Management

TECH considers it essential that its Postgraduate Certificates have the best professors in each area. Therefore, for this Postgraduate Certificate they have selected a group of experts in Corporate Compliance with experience in different areas of the legal and business fields. In this way, students will have access to high quality support that will allow them to make the most of their academic experience, enhancing their management skills.





“

Maximize your managerial and leadership skills in compliance management with the advice of prestigious legal experts”

Management



Dr. Muñoz Pérez, Ana Felicitas

- ♦ Lawyer in TDP Methods
- ♦ Lawyer in Methods and Business Organizers
- ♦ Lawyer at Lupicinio International Law Firm
- ♦ Lecturer at the URJC
- ♦ PhD in Commercial Law from the Complutense University of Madrid
- ♦ Law Graduate from the Universidad Complutense de Madrid
- ♦ Master's Degree in Legal Consultancy
- ♦ Master in Competition Law

Professors

Ms. Baro Aguilera, Carla

- ♦ Law Degree from the Autonomous University of Barcelona
- ♦ Master's Degree in Access to the Legal Profession from the Oberta University of Catalonia



09

Impact on Your Career

It is important to be aware of the great economic, professional and personal investment involved in taking a Postgraduate Certificate of this type.

The ultimate goal of taking this Postgraduate Certificate is none other than to have a highly positive impact on the student's career, for which legal, managerial and leadership skills will be greatly enhanced.





“

TECH offers you an unparalleled opportunity to be part of the global business shift towards more rigorous regulatory compliance”

Are you ready to take the leap? Excellent professional development awaits you.

TECH's Postgraduate Certificate in Corporate Compliance Management is an intensive program that prepares you to face challenges and business decisions in the field of Compliance. The main objective is to promote your personal and professional growth. Helping you achieve success.

If you want to improve yourself, make a positive change at a professional level, and network with the best, then this is the place for you.

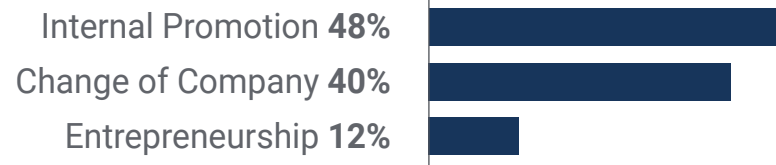
You will be able to prevent conflicts of interest that threaten your company's business.

Benefit from the advantages of specializing from home in the registration of compliance plans or in the design of monitoring Postgraduate Certificates.

Time of change



Type of change



Salary increase

This program represents a salary increase of more than **27.32%** for our students.



10

Benefits for Your Company

The Postgraduate Certificate in Corporate Compliance Management contributes to elevate the organization's talent to its maximum potential through the specialization of high-level leaders.

Participating in this Postgraduate Certificate is a unique opportunity to gain access to a network of contacts to will be possible find future professional partners, clients or suppliers.



“

*Do you want to be a highly sought after
Compliance Officer in the business sector?
Just enroll in this Postgraduate Certificate!"*

Developing and retaining talent in companies is the best long-term investment.

01

Growth of talent and intellectual capital

The professional will introduce the company to new concepts, strategies, and perspectives that can bring about significant changes in the organization.

02

Retaining high-potential executives to avoid talent drain

This program strengthens the link between the company and the professional and opens new avenues for professional growth within the company.

03

Building agents of change

You will be able to make decisions in times of uncertainty and crisis, helping the organization overcome obstacles.

04

Increased international expansion possibilities

Thanks to this program, the company will come into contact with the main markets in the world economy.



05

Project Development

The professional can work on a real project or develop new projects in the field of R & D or business development of your company.

06

Increased competitiveness

This program will equip students with the skills to take on new challenges and drive the organization forward.

11

Certificate

The Postgraduate Certificate in Corporate Compliance Management guarantees students, in addition to the most rigorous and up-to-date education, access to a Postgraduate Certificate issued by TECH Technological University.





“

Successfully complete this program and receive your university qualification without having to travel or fill out laborious paperwork”

This **Postgraduate Certificate in Corporate Compliance Management** contains the most complete and up-to-date program on the market.

After the student has passed the assessments, they will receive their corresponding **Postgraduate Certificate** issued by **TECH Technological University** via tracked delivery*.

The certificate issued by **TECH Technological University** will reflect the qualification obtained in the Postgraduate Certificate, and meets the requirements commonly demanded by labor exchanges, competitive examinations, and professional career evaluation committees.

Title: **Postgraduate Certificate in Corporate Compliance Management**

Official N° of Hours: **300 h.**



*Apostille Convention. In the event that the student wishes to have their paper certificate issued with an apostille, TECH EDUCATION will make the necessary arrangements to obtain it, at an additional cost.



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- » Modality: **online**
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- » Dedication: **16h/week**
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- » Exams: **online**

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