



Postgraduate Certificate Corporate Compliance Management

» Modality: online

» Duration: 6 weeks

» Certificate: TECH Global University

» Credits: 6 ECTS

» Schedule: at your own pace

» Exams: online

Website: www.techtitute.com/us/school-of-business/postgraduate-certificate/corporate-compliace-management

Index

 01
 02
 03

 Welcome
 Why Study at TECH?
 Why Our Program?

 05
 06

 Structure and Content
 Methodology

 08
 09

Course Management

p. 36

O4

Thy Our Program?

Objectives

O6

O7

Our Students' Profiles

p. 24

10
Impact on Your Career

P. 42
Benefits for Your Company

p. 46

11 Certificate p. 14

p. 32

01 **Welcome**

Compliance is essential in the business sector to ensure legality and ethics in business, thus preventing reputational risks and financial penalties. To address this key area, this degree is designed to provide the tools and knowledge necessary to effectively manage the function of compliance plans. The degree focuses on topics such as leadership, communication, monitoring, whistleblowing channels, incentives and disciplinary measures, in addition to Corporate Compliance, the recording of plans and reporting of results or the relationship of the Compliance department with others. In addition, this academic opportunity is 100% online and gives the student the baton of the management of their study sessions.









tech 08 | Why Study at TECH?

At TECH Global University



Innovation

The university offers an online learning model that balances the latest educational technology with the most rigorous teaching methods. A unique method with the highest international recognition that will provide students with the keys to develop in a rapidly-evolving world, where innovation must be every entrepreneur's focus.

"Microsoft Europe Success Story", for integrating the innovative, interactive multi-video system.



The Highest Standards

Admissions criteria at TECH are not economic. Students don't need to make a large investment to study at this university. However, in order to obtain a qualification from TECH, the student's intelligence and ability will be tested to their limits. The institution's academic standards are exceptionally high...

95%

of TECH students successfully complete their studies



Networking

Professionals from countries all over the world attend TECH, allowing students to establish a large network of contacts that may prove useful to them in the future.

+100000

+200

executives prepared each year

different nationalities



Empowerment

Students will grow hand in hand with the best companies and highly regarded and influential professionals. TECH has developed strategic partnerships and a valuable network of contacts with major economic players in 7 continents.

+500

collaborative agreements with leading companies



Talent

This program is a unique initiative to allow students to showcase their talent in the business world. An opportunity that will allow them to voice their concerns and share their business vision.

After completing this program, TECH helps students show the world their talent.

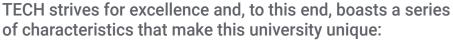


Multicultural Context

While studying at TECH, students will enjoy a unique experience. Study in a multicultural context. In a program with a global vision, through which students can learn about the operating methods in different parts of the world, and gather the latest information that best adapts to their business idea.

TECH students represent more than 200 different nationalities.







Analysis

TECH explores the student's critical side, their ability to question things, their problem-solving skills, as well as their interpersonal skills.



Academic Excellence

TECH offers students the best online learning methodology. The university combines the Relearning method (postgraduate learning methodology with the best international valuation) with the Case Study. Tradition and vanguard in a difficult balance, and in the context of the most demanding educational itinerary.



Economy of Scale

TECH is the world's largest online university. It currently boasts a portfolio of more than 10,000 university postgraduate programs. And in today's new economy, volume + technology = a groundbreaking price. This way, TECH ensures that studying is not as expensive for students as it would be at another university.



Learn with the best

In the classroom, TECH's teaching staff discuss how they have achieved success in their companies, working in a real, lively, and dynamic context. Teachers who are fully committed to offering a quality specialization that will allow students to advance in their career and stand out in the business world.

Teachers representing 20 different nationalities.



At TECH, you will have access to the most rigorous and up-to-date case analyses in academia"





tech 12 | Why Our Program?

This program will provide you with a multitude of professional and personal advantages, among which we highlight the following:



A Strong Boost to Your Career

By studying at TECH, students will be able to take control of their future and develop their full potential. By completing this program, students will acquire the skills required to make a positive change in their career in a short period of time.

70% of students achieve positive career development in less than 2 years.



Develop a strategic and global vision of the company

TECH offers an in-depth overview of general management to understand how each decision affects each of the company's different functional fields.

Our global vision of companies will improve your strategic vision.



Consolidate the student's senior management skills

Studying at TECH means opening the doors to a wide range of professional opportunities for students to position themselves as senior executives, with a broad vision of the international environment.

You will work on more than 100 real senior management cases.



You will take on new responsibilities

The program will cover the latest trends, advances and strategies, so that students can carry out their professional work in a changing environment.

45% of graduates are promoted internally.



Access to a powerful network of contacts

TECH connects its students to maximize opportunities. Students with the same concerns and desire to grow. Therefore, partnerships, customers or suppliers can be shared.

You will find a network of contacts that will be instrumental for professional development.



Thoroughly develop business projects.

Students will acquire a deep strategic vision that will help them develop their own project, taking into account the different fields in companies.

20% of our students develop their own business idea.



Improve soft skills and management skills

TECH helps students apply and develop the knowledge they have acquired, while improving their interpersonal skills in order to become leaders who make a difference.

Improve your communication and leadership skills and enhance your career.



You will be part of an exclusive community

Students will be part of a community of elite executives, large companies, renowned institutions, and qualified teachers from the most prestigious universities in the world: the TECH Global University community.

We give you the opportunity to study with a team of world-renowned teachers.





tech 16 | Objectives

TECH makes the goals of their students their own goals too. Working together to achieve them.

The Postgraduate Certificate in Corporate Compliance Management will enable the student to:



Analyze the principles of corporate governance and identify the risks that affect them



Examine the tool that underpins the operation of a whistleblower channel for the detection and sanctioning of punishable conducts



Mastering the relevant tools to make the <u>institution of Compliance</u> work



Interpret the main indicators of the corporate compliance activity

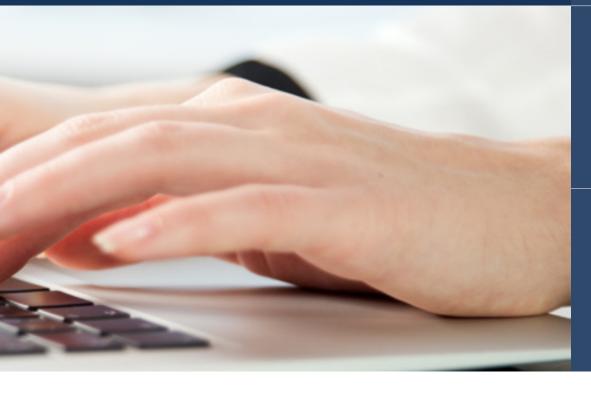




Adapt the information received from the channels to the objectives of the compliance program planning



To be able to master the various tools at the service of the enterprise risk control activity and to acquire ability to identify these risks





Classify, record and assess the scope Tasks of a compliance officer



Identify and evaluate the measures to be taken





tech 20 | Structure and Content

Syllabus

The Postgraduate Certificate in Corporate Compliance Management from TECH Global University is a program designed to provide a unique and intensive experience that will prepare you to face challenges and make business decisions in the field of Compliance.

The curriculum is focused on developing management skills to make more rigorous decisions in uncertain environments, and has a duration of 12 weeks, being perfectly compatible with any personal or professional obligation.

Through the realization of practical cases, both in teams and individually, we seek to achieve an immersion in real business situations. In addition, emphasis is placed on the importance of the digital world, the legislative framework and e-commerce in companies to train students in a strategic, international and innovative perspective of Compliance.

This Postgraduate Certificate is designed with students with a management profile in mind, with a focus on perfecting their professional skills and achieving excellence in business management. It contains innovative content based on the latest trends, and is delivered through the best educational methodology and an exceptional faculty.

This Postgraduate Certificate takes place over 12 weeks and is divided into 2 modules:

Module 1 Corporate Compliance. Regulatory Framework.

Module 2 Business Management Elements of Compliance



Where, When and How is it Taught?

TECH offers the possibility of taking this Postgraduate Certificate completely online. During the 12 weeks of the specialization, the student will be able to access all the contents of this program at any time, which will allow the students to self-manage their study time.

A unique, key, and decisive educational experience to boost your professional development and make the definitive leap.

tech 22 | Structure and Content

Module 1. Corporate Compliance. Regulatory Framework.									
Developm 1.1.1. Corporate Co 1.1.2. Compliance	e Compliance. Nent in Organizations Compliance and Regulatory Compliance Within Organizations	1.2.1. 1.2.2. 1.2.3. 1.2.4.	Compliance function and requirements Compliance culture Position in the organization Reference terms of the Compliance function Interaction With Other Function	1.3.4.	Industry standards and best practices (ISO rules) ISO 37301 UNE 19601:2017 on Criminal Compliance Management Systems ISO 37001 Anti-bribery management systems standard UNE-/ IEC 27000 Standards. Information Security Management System (ISMS) UNE-/ IEC 20000 Standards. IT Service Management System (ITSMS)	1.4.1. 1.4.2. 1.4.3. 1.4.4. 1.4.5. 1.4.6.	Internal regulations of the organizations Hierarchy of policies The rule of rules or rule 0 Ethical Codes Structuring and production Revision and updating Advertising and Communication		
1.5.1. Business eth 1.5.2. Theories of B	he Compliance Framework nics Business Ethics rmance and reputational impact	1.6. 1.6.1. 1.6.2. 1.6.3.	Compliance leadership and culture Leadership in organizations: importance of tone at the top Development of a control structure: the three lines of defense Metrics of effective leadership in Compliance	1.7. 1.7.1. 1.7.2. 1.7.3.	Prevention of conflicts of interest in the corporate framework Conflicts of interest in the corporate framework Types of conflicts of interest Conflict Management interest rate	1.8. 1.8.1. 1.8.2. 1.8.3.	Compliance officer Compliance officer profile Compliance officer in Spanish regulation Interaction With Other Function		
1.9.1. Compliance of 1.9.2. The problem of	ee officer Tasks officer Tasks of their heterogeneity compliance tasks	1.10.1. 1.10.2.	Responsibility of the compliance officer Responsibility of senior management in Compliance Responsibility of the compliance officer Responsibility of the rest of the organization						

regarding Compliance

Module 2. Business Management Elements of Compliance									
2.1.2.1.1.2.1.2.	Leadership. Planning. Operation Evaluation. Improvement of procedures Philosophies behind Compliance cycles: PDCA Philosophies behind Compliance cycles: Kaizen		Communication Training. Sensitization in the Company Philosophies behind Compliance cycles: Communication Structure in Compliance Personnel Training Internalization of Compliance	2.3.1. 2.3.2. 2.3.3. 2.3.4. 2.3.5. 2.3.6.	Monitoring. Procedure. Plan: execution of the plan Compliance monitoring and testing Differences with audit functions Compliance plan design Design of the monitoring and testing program Plan implementation and execution Reporting of results	2.4.1. 2.4.2. 2.4.3.	Complaint and investigation channels in compliance procedures Whistleblowing channels: European regulation Types of whistleblowing channels Design of whistleblowing channels. Components Flows and processes in whistleblowing cases		
	management	2.6. 2.6.1. 2.6.2. 2.6.3.	Compliance Plans Records Record keeping of compliance plans Content information Record keeping procedure	2.7. 2.7.1. 2.7.2. 2.7.3. 2.7.4.	Annual Compliance Plan Elements of the annual compliance plan Design of the annual compliance plan its approval Monitoring of the annual Compliance plan Annual Compliance Plan Report	2.8. 2.8.1. 2.8.2. 2.8.3.	SMART information Internal reports generated by the Compliance Function		
2.9. 2.9.1. 2.9.2. 2.9.3.	Corporate Compliance. Good Governing International and local background Corporate Compliance. Good governance: keys Increased focus on ESG Compliance	2.10.2 2.10.3 2.10.4	Relationship of the Compliance department with other departments of the organization Relationship with senior management Relationship with the Committee of Compliance Relationship with the third line of defense: internal audit Relationship with the first line of defense: business and support functions Relationship with the second line of defense: Risk						



Delve into the relationship between the Compliance department and the rest of the company with TECH"



This academic program offers students a different way of learning. Our methodology uses a cyclical learning approach: **Relearning.**

This teaching system is used, for example, in the most prestigious medical schools in the world, and major publications such as the **New England Journal of Medicine** have considered it to be one of the most effective.





tech 26 | Methodology

TECH Business School uses the Case Study to contextualize all content

Our program offers a revolutionary approach to developing skills and knowledge. Our goal is to strengthen skills in a changing, competitive, and highly demanding environment.





This program prepares you to face business challenges in uncertain environments and achieve business success.



Our program prepares you to face new challenges in uncertain environments and achieve success in your career.

A learning method that is different and innovative

This TECH program is an intensive educational program, created from scratch to present executives with challenges and business decisions at the highest level, whether at the national or international level. This methodology promotes personal and professional growth, representing a significant step towards success. The case method, a technique that lays the foundation for this content, ensures that the most current economic, social and business reality is taken into account.



You will learn, through collaborative activities and real cases, how to solve complex situations in real business environments"

The case method has been the most widely used learning system among the world's leading business schools for as long as they have existed. The case method was developed in 1912 so that law students would not only learn the law based on theoretical content. It consisted of presenting students with real-life, complex situations for them to make informed decisions and value judgments on how to resolve them. In 1924, Harvard adopted it as a standard teaching method.

What should a professional do in a given situation? This is the question we face in the case method, an action-oriented learning method. Throughout the program, the studies will be presented with multiple real cases. They must integrate all their knowledge, research, argue and defend their ideas and decisions.

tech 28 | Methodology

Relearning Methodology

TECH effectively combines the Case Study methodology with a 100% online learning system based on repetition, which combines different teaching elements in each lesson.

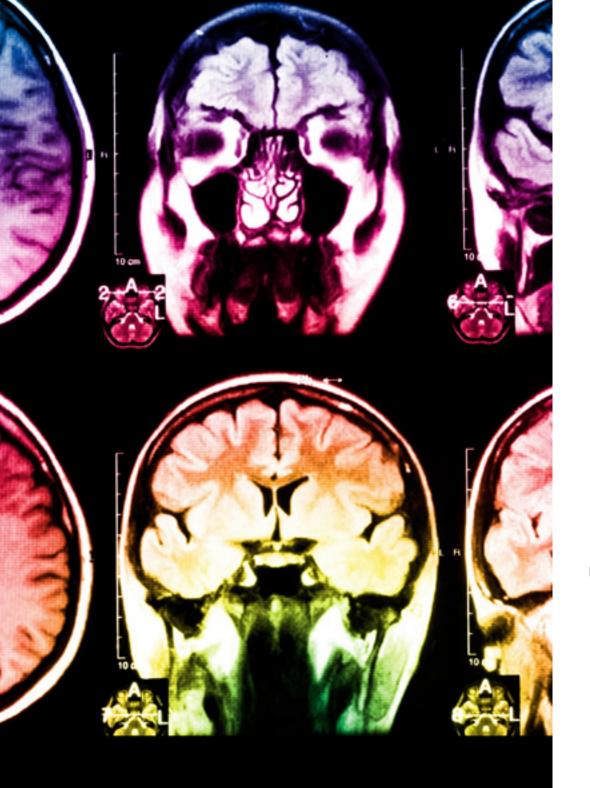
We enhance the Case Study with the best 100% online teaching method: Relearning.

Our online system will allow you to organize your time and learning pace, adapting it to your schedule. You will be able to access the contents from any device with an internet connection.

At TECH you will learn using a cutting-edge methodology designed to train the executives of the future. This method, at the forefront of international teaching, is called Relearning.

Our online business school is the only one in the world licensed to incorporate this successful method. In 2019, we managed to improve our students' overall satisfaction levels (teaching quality, quality of materials, course structure, objectives...) based on the best online university indicators.





Methodology | 29 tech

In our program, learning is not a linear process, but rather a spiral (learn, unlearn, forget, and re-learn). Therefore, we combine each of these elements concentrically. With this methodology we have trained more than 650,000 university graduates with unprecedented success in fields as diverse as biochemistry, genetics, surgery, international law, management skills, sports science, philosophy, law, engineering, journalism, history, markets, and financial instruments. All this in a highly demanding environment, where the students have a strong socio-economic profile and an average age of 43.5 years.

Relearning will allow you to learn with less effort and better performance, involving you more in your specialization, developing a critical mindset, defending arguments, and contrasting opinions: a direct equation to success.

From the latest scientific evidence in the field of neuroscience, not only do we know how to organize information, ideas, images and memories, but we know that the place and context where we have learned something is fundamental for us to be able to remember it and store it in the hippocampus, to retain it in our long-term memory.

In this way, and in what is called neurocognitive context-dependent e-learning, the different elements in our program are connected to the context where the individual carries out their professional activity.

This program offers the best educational material, prepared with professionals in mind:



Study Material

All teaching material is produced by the specialists who teach the course, specifically for the course, so that the teaching content is highly specific and precise.

These contents are then applied to the audiovisual format, to create the TECH online working method. All this, with the latest techniques that offer high quality pieces in each and every one of the materials that are made available to the student.



Classes

There is scientific evidence suggesting that observing third-party experts can be useful.

Learning from an Expert strengthens knowledge and memory, and generates confidence in future difficult decisions.



Management Skills Exercises

They will carry out activities to develop specific executive competencies in each thematic area. Practices and dynamics to acquire and develop the skills and abilities that a high-level manager needs to develop in the context of the globalization we live in.



Additional Reading

Recent articles, consensus documents and international guidelines, among others. In TECH's virtual library, students will have access to everything they need to complete their course.





Students will complete a selection of the best case studies chosen specifically for this program. Cases that are presented, analyzed, and supervised by the best senior management specialists in the world.



Interactive Summaries

The TECH team presents the contents attractively and dynamically in multimedia lessons that include audio, videos, images, diagrams, and concept maps in order to reinforce knowledge.



This exclusive educational system for presenting multimedia content was awarded by Microsoft as a "European Success Story".

Testing & Retesting

 \bigcirc

We periodically evaluate and re-evaluate students' knowledge throughout the program, through assessment and self-assessment activities and exercises, so that they can see how they are achieving their goals.

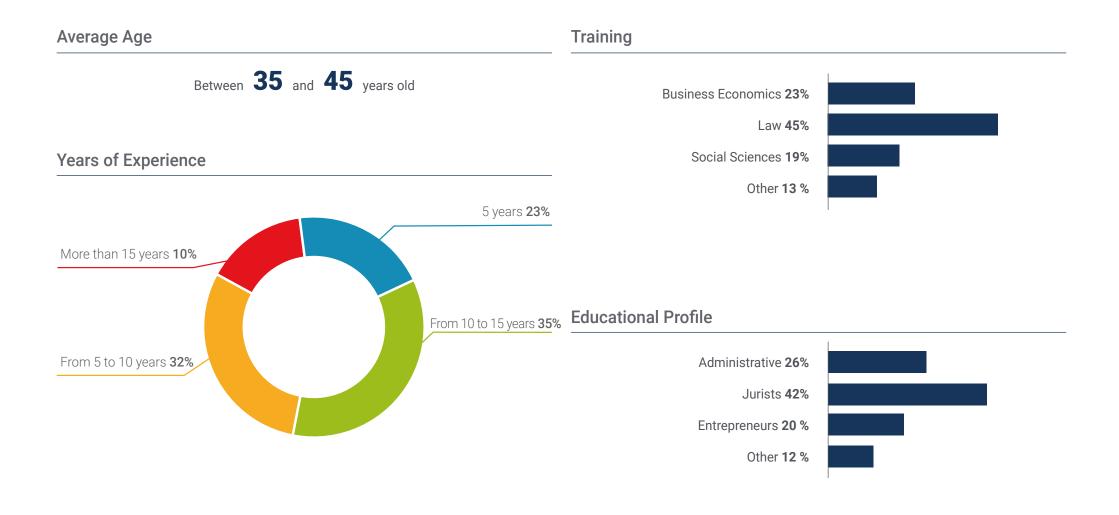


30%

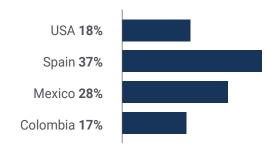




tech 34 | Our Students' Profiles



Geographical Distribution





Vanessa Sánchez Mendoza

Legal department coordination

"After seeing how other competing companies had suffered costly sanctions that were avoidable, I decided to specialize in Corporate Compliance and came across this degree. Thanks to it, I was able to master all the key aspects of their business management and combine it with my work, so it has been extremely useful for me"





International Guest Director

Awarded twice for her collaborative work with the Disney Destination International team, Anna Odell is a prestigious Data Protection specialist. With an extensive career spanning more than 20 years, her work has focused on ensuring compliance and developing multiple privacy policies.

Her knowledge and skills have allowed her to be part of recognized international reference companies such as Walt Disney in the United Kingdom. In this way, she has been in charge of conducting both periodic audits and impact assessments to optimize data management practices. At the same time, she has supervised the response to any incident related to information security, including breaches or unauthorized access. In this regard, she has also provided numerous trainings to employees on the optimal handling of personal data and compliance with privacy regulations.

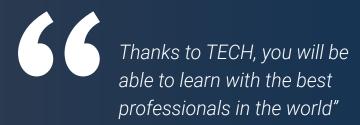
Likewise, she has led technological projects involving the use of personal data, ensuring that the appropriate privacy standards are met. In line with this, she has acted as a point of contact between companies and regulatory authorities on data protection issues, responding to requests and reports.

Moreover, she has led a variety of initiatives that have helped parents to establish procedures for their children to make responsible use of the Internet. In this way, she has helped to make families aware of the websites that minors visit, the sites on which they register their personal data and even their registrations. Thanks to this, she has prevented children from being confronted with inappropriate content such as violent or sexual images or those that promote dangerous behavior. She has also made it possible to detect early signs of bullying, giving parents the opportunity to intervene to support their children.



Ms. Odell, Anna

- Director of Data Protection and Privacy at the Walt Disney Company, London, United Kingdom
- Chief Compliance, Operations and Communications Officer, Disney Cruise Line, Florida
- Senior Manager of Data Protection and Compliance EMEA at the Walt Disney Company
- Manager of Broadcast Operations at Disney Channel, London



tech 40 | Course Management

Management



Dr. Muñoz Pérez, Ana Felicitas

- Lawyer in TDP Methods
- Lawyer in Methods and Business Organizers
- Lawyer at Lupicinio International Law Firm
- Lecturer at the URJC
- PhD in Commercial Law from the Complutense University of Madrid
- Law Graduate from the Universidad Complutense de Madrid
- Master's Degree in Legal Consultancy
- Master in Competition Law

Professors

Ms. Baro Aguilera, Carla

• Law Degree from the Autonomous University of Barcelona Master's Degree in Access to the Legal Profession from the Oberta University of Catalonia







tech 44 | Impact on Your Career

Benefit from the advantages of specializing from home in the registration of compliance plans or in the design of monitoring Postgraduate Certificates.

Are you ready to take the leap? Excellent professional development awaits you.

TECH's Postgraduate Certificate in Corporate Compliance Management is an intensive program that prepares you to face challenges and business decisions in the field of Compliance. The main objective is to promote your personal and professional growth. Helping you achieve success.

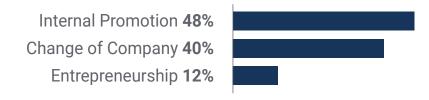
If you want to improve yourself, make a positive change at a professional level, and network with the best, then this is the place for you.

You will be able to prevent conflicts of interest that threaten your company's business.

Time of change



Type of change



Salary increase

This program represents a salary increase of more than 27.32% for our students.

\$51,400

A salary increase of

27.32%

\$65,442





tech 48 | Benefits for Your Company

Developing and retaining talent in companies is the best long-term investment.



Growth of talent and intellectual capital

The professional will introduce the company to new concepts, strategies, and perspectives that can bring about significant changes in the organization.



Retaining high-potential executives to avoid talent drain

This program strengthens the link between the company and the professional and opens new avenues for professional growth within the company.



Building agents of change

You will be able to make decisions in times of uncertainty and crisis, helping the organization overcome obstacles.



Increased international expansion possibilities

Thanks to this program, the company will come into contact with the main markets in the world economy.





Project Development

The professional can work on a real project or develop new projects in the field of R & D or business development of your company.



Increased competitiveness

This program will equip students with the skills to take on new challenges and drive the organization forward.





tech 52 | Certificate

This program will allow you to obtain your **Postgraduate Corporate Compliance Management** endorsed by **TECH Global University**, the world's largest online university.

TECH Global University is an official European University publicly recognized by the Government of Andorra (*official bulletin*). Andorra is part of the European Higher Education Area (EHEA) since 2003. The EHEA is an initiative promoted by the European Union that aims to organize the international training framework and harmonize the higher education systems of the member countries of this space. The project promotes common values, the implementation of collaborative tools and strengthening its quality assurance mechanisms to enhance collaboration and mobility among students, researchers and academics.

This **TECH Global University** title is a European program of continuing education and professional updating that guarantees the acquisition of competencies in its area of knowledge, providing a high curricular value to the student who completes the program.

Title: Postgraduate Certificate in Corporate Compliance Management

Modality: online

Duration: 6 weeks

Accreditation: 6 ECTS



Mr./Ms. _____, with identification document _____ has successfully passed and obtained the title of:

Postgraduate Certificate in Corporate Compliance Management

This is a program of 180 hours of duration equivalent to 6 ECTS, with a start date of dd/mm/yyyy and an end date of dd/mm/yyyy.

TECH Global University is a university officially recognized by the Government of Andorra on the 31st of January of 2024, which belongs to the European Higher Education Area (EHEA).

In Andorra la Vella, on the 28th of February of 2024



^{*}Apostille Convention. In the event that the student wishes to have their paper diploma issued with an apostille, TECH Global University will make the necessary arrangements to obtain it, at an additional cost.



Postgraduate Certificate Corporate Compliance Management

» Modality: online

» Duration: 6 weeks

» Certificate: TECH Global University

» Credits: 6 ECTS

» Schedule: at your own pace

» Exams: online

Postgraduate Certificate

Corporate Compliance Management

